Valid ISO-45001-Lead-Auditor Exam Duration - ISO-45001-Lead-Auditor Practice Tests

OHSMS ISO 45001:2018 Lead Auditor

Practice Questions

Version 5 | December 2022

Practice questions

Information for learners

This exam is open book

- All references to ISO 45001 refer to the latest issue (ISO 45001:2018).
- A clean copy of ISO 45001, ISO 19011, and a bilingual dictionary are permitted for reference.
- The practice paper is in five sections. Learners should attempt all sections and all 24 questions.
- Learners may attempt the practice questions up to 99 times.
- These are questions for learners to use ahead of the actual exam. They will familiarise learners with the questions in each section and the layout and functionality of the online exam platform.
- Learners should read each question carefully before answering. Learners are advised to consider the context given in the question as well as the knowledge they have from the course.
- All the questions are based on the learning and enabling objectives detailed in the CQI and IRCA QMS ISO 45001:2018 Lead Auditor course specification (PR357).

Section 1 - Concepts and principles of Management Standards and Systems

Workers' participation is a key factor for the success of an OHSMS.

Which **three** of the following ISO 45001 activities require workers' participation?

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q73-Q78):

NEW QUESTION # 73

Which three of the following ISO 45001 activities require the organisation to emphasise non-managerial workers' participation?

- A. Establishing OHS objectives
- B. Managing the internal audit programme
- C. Establishing the OHS policy
- D. Determining how to fulfil legal requirements
- E. Determining actions to eliminate hazards
- F. Determining competence requirements
- G. Determining what needs to be communicated
- H. Determining controls on outsourced processes

Answer: A,C,E

Explanation:
ISO 45001 emphasizes the importance of worker participation, particularly non-managerial workers, in developing and improving the OH&S management system. Clause 5.4 outlines specific areas where their involvement is crucial.
Analysis of Options:
A : Determining competence requirements:
Incorrect. Competence requirements are primarily determined by management but may involve feedback from workers.
B : Determining how to fulfil legal requirements:
Incorrect. Management typically handles compliance with legal requirements.
C : Determining controls on outsourced processes:
Incorrect. This is a management responsibility.
D : Managing the internal audit programme:
Incorrect. Workers participate in audits, but managing the program is a management task.
E : Determining what needs to be communicated:
Incorrect. Communication strategies are usually set by management.
F : Determining actions to eliminate hazards:
Correct. Non-managerial workers' input is vital in identifying and eliminating workplace hazards (Clause 8.1.2).
G : Establishing the OHS policy:
Correct. Workers' participation in policy development ensures it is relevant and inclusive (Clause 5.2).
H: Establishing OHS objectives:
Correct. Workers' participation ensures that objectives are practical and aligned with workplace realities (Clause 6.2).

NEW QUESTION # 74

Like many other quality management systems, ISO 45001 uses what is known as a 'PDCA' circle. What do those initials stand for?

- A. Prepare, Deliver, Control, Assess
- B. Plan, Do, Check, Ask
- C. Plan, Deliver, Control, Achieve
- D. Plan, Do, Check, Act

Answer: D

Explanation:
The PDCA (Plan-Do-Check-Act) model is a continuous improvement framework used in ISO 45001 and other management systems.
* Plan: Establish objectives and processes to deliver results in line with the OH&S policy.
* Do: Implement the processes.
* Check: Monitor and measure processes against the policy, objectives, and requirements.
* Act: Take actions to continually improve the system's performance.
Analysis of Options:
* A. Plan, Do, Check, Act:Correct. This is the standard PDCA cycle.

* B. Plan, Do, Check, Ask:Incorrect. "Ask" is not part of the cycle.
* C. Plan, Deliver, Control, Achieve:Incorrect. This is not the PDCA cycle.
* D. Prepare, Deliver, Control, Assess:Incorrect. This deviates from the PDCA framework.
ISO Reference:
* Clause 0.3: PDCA model.

NEW QUESTION # 75

XYZ Corporation is an organisation that employs 100 people. As an audit team leader, you are conducting a certification audit at Stage1. When reviewing the OH&S management system (OHSMS), you find that the objectives have been defined by an external consultant using those of a competitor, but nothing is documented. The Health and Safety Manager complains that this has created a lot of resistance to the OHSMS, and the Chief Executive Is asking questions about how much It will cost.

Select two erf the options which describe the circumstances h which you could raise a nonconformity against clause 0.2 of ISO 45001.

* A. Establishing OH&S objectives did not include top management.
* B. The consultant has not Interpreted ISO 4S001 correctly.
* C. H&S objectives were not establish in alignment with the organizations OH&S polity.
* D. H&S objectives ace not maintained as documented information.
* E. The organization cannot afford to undertake OH&S objectives all at once
* F. OH&S objectives are not being implemented by the organisation's personnel.

Answer: A,C

Explanation:
Clause 0.2 of ISO 45001 emphasizes the involvement of top management and alignment of OH&S objectives with the organization's OH&S policy.
Nonconformities Identified:
The objectives were developed by an external consultant without involving top management, which undermines leadership accountability and commitment.
The objectives were based on a competitor's framework, not aligned with the organization's unique OH&S policy or context.
Analysis of Options:
A . Establishing OH&S objectives did not include top management.
True. Clause 5.1 (Leadership) and Clause 6.2 (Objectives) emphasize that top management must be involved in defining and supporting OH&S objectives.
B . OH&S objectives are not being implemented by personnel.
Implementation relates to operationalization, not the development phase, and is not relevant to Clause 0.2.
C . OH&S objectives are not maintained as documented information.
While documentation is required, the absence of documented information is a separate issue under Clause 7.5, not Clause 0.2.
D . OH&S objectives were not established in alignment with the organization's OH&S policy.
True. Clause 6.2.1 requires objectives to align with the OH&S policy, which reflects the organization's commitment to safety and health.
E . The consultant has not interpreted ISO 45001 correctly.
While possibly true, the issue here is the organization's failure to involve top management, not the consultant's interpretation.
F . The organization cannot afford to undertake OH&S objectives all at once.
Financial constraints are not relevant to Clause 0.2; objectives can be prioritized for phased implementation.
ISO Reference:
Clause 0.2: Leadership commitment and alignment with organizational policy.
Clause 5.1: Top management's role in leadership and participation.
Clause 6.2.1: Establishing OH&S objectives aligned with the policy.

NEW QUESTION # 76

Which two of the following phrases would apply to "plan" in relation to the Plan-Do-Check-Act cycle for a business process?

* A. Retaining documentation
* B. Setting objectives
* C. Organising changes
* D. Training staff
* E. Calibrating instruments

- F. Providing infrastructure

Answer: B,C

NEW QUESTION # 77
An internal auditor of a manufacturer of plastic packaging products for the food industry raised a nonconformity against section 10.2 of ISO 45001 in Report JA202. The nonconformity (NC3) stated: "The level of reported health and safety incidents has increased by 9.7% over the last 12 months." As the third-party auditor who comes across this nonconformity during a surveillance audit, how would you react? Select one.

- A. You would ask the OHS Manager why the incident rate was rising by so much in one year.
- B. You would raise a nonconformity since the incident rate had not reduced at the time of the internal audit.
- C. You would investigate whether the incident rate featured in the previous internal audit report.
- D. You would find out what corrective action was taken and whether it was effective.

Answer: D

NEW QUESTION # 78
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