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## Databricks Databricks-Generative-AI-Engineer-Associate Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>Assembling and Deploying Applications: In this topic, Generative AI Engineers get knowledge about coding a chain using a pyfunc mode, coding a simple chain using langchain, and coding a simple chain according to requirements. Additionally, the topic focuses on basic elements needed to create a RAG application. Lastly, the topic addresses sub-topics about registering the model to Unity Catalog using MLflow.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>Data Preparation: Generative AI Engineers covers a chunking strategy for a given document structure and model constraints. The topic also focuses on filter extraneous content in source documents. Lastly, Generative AI Engineers also learn about extracting document content from provided source data and format.</li></ul>
Topic 3	<ul style="list-style-type: none"><li>Governance: Generative AI Engineers who take the exam get knowledge about masking techniques, guardrail techniques, and legal</li><li>licensing requirements in this topic.</li></ul>
Topic 4	<ul style="list-style-type: none"><li>Evaluation and Monitoring: This topic is all about selecting an LLM choice and key metrics. Moreover, Generative AI Engineers learn about evaluating model performance. Lastly, the topic includes sub-topics about inference logging and usage of Databricks features.</li></ul>

## Databricks Certified Generative AI Engineer Associate Sample Questions (Q58-Q63):

### NEW QUESTION # 58

A Generative AI Engineer at a legal firm is designing a RAG system to analyze historical legal cases. The system needs to process millions of court opinions and legal documents, already organized by time and topic, to track how interpretations of specific laws have evolved over time. All of these documents are in plain-text. The engineer needs to choose a chunking method that would most effectively preserve continuity and the temporal nature of the cases. Which method do they choose?

- A. Implement a hierarchical tree structure, like RAPTOR, to group similar legal concepts.
- B. Implement windowed summarization with overlapping chunks.**
- C. Implement sentence level embeddings with each chunk tagged with the time to enable metadata filtering.
- D. Implement paragraph level embeddings with each chunk.

**Answer: B**

Explanation:

In the context of legal document analysis where the "evolution of interpretation" is the primary goal, preserving narrative continuity is paramount. Windowed summarization with overlapping chunks is the most effective method for this use case. Overlapping (e.g., 10-15% of the chunk size) ensures that sentences or concepts split at the boundary of one chunk are preserved in the next, preventing the loss of critical context that often occurs in legal jargon. Furthermore, windowed summarization allows the system to condense long-form court opinions into manageable parts while maintaining the chronological "thread" of the argument. While sentence-level embeddings with metadata (D) are useful for filtering, they often lack the sufficient context required to understand the nuances of a legal ruling. A windowed approach provides the LLM with enough surrounding text to understand the "why" behind a legal evolution, rather than just the "when."

### NEW QUESTION # 59

A Generative AI Engineer interfaces with an LLM with prompt/response behavior that has been trained on customer calls inquiring about product availability. The LLM is designed to output "In Stock" if the product is available or only the term "Out of Stock" if not. Which prompt will work to allow the engineer to respond to call classification labels correctly?

- A. You will be given a customer call transcript where the customer asks about product availability. The outputs are either "In Stock" or "Out of Stock". Format the output in JSON, for example: {"call\_id": "123", "label": "In Stock"}.
- B. Respond with "Out of Stock" if the customer asks for a product.
- C. Respond with "In Stock" if the customer asks for a product.
- D. You will be given a customer call transcript where the customer inquires about product availability. Respond with "In Stock" if the product is available or "Out of Stock" if not.

**Answer: A**

Explanation:

\* Problem Context: The Generative AI Engineer needs a prompt that will enable an LLM trained on customer call transcripts to classify and respond correctly regarding product availability. The desired response should clearly indicate whether a product is "In Stock" or "Out of Stock," and it should be formatted in a way that is structured and easy to parse programmatically, such as JSON.

\* Explanation of Options:

\* Option A: Respond with "In Stock" if the customer asks for a product. This prompt is too generic and does not specify how to handle the case when a product is not available, nor does it provide a structured output format.

\* Option B: This option is correctly formatted and explicit. It instructs the LLM to respond based on the availability mentioned in the customer call transcript and to format the response in JSON.

This structure allows for easy integration into systems that may need to process this information automatically, such as customer service dashboards or databases.

\* Option C: Respond with "Out of Stock" if the customer asks for a product. Like option A, this prompt is also insufficient as it only covers the scenario where a product is unavailable and does not provide a structured output.

\* Option D: While this prompt correctly specifies how to respond based on product availability, it lacks the structured output format, making it less suitable for systems that require formatted data for further processing.

Given the requirements for clear, programmatically usable outputs, Option B is the optimal choice because it provides precise instructions on how to respond and includes a JSON format example for structuring the output, which is ideal for automated systems or further data handling.

### NEW QUESTION # 60

After changing the response generating LLM in a RAG pipeline from GPT-4 to a model with a shorter context length that the company self-hosts, the Generative AI Engineer is getting the following error:

What TWO solutions should the Generative AI Engineer implement without changing the response generating model? (Choose two.)

- A. Decrease the chunk size of embedded documents
- B. Use a smaller embedding model to generate
- C. Retrain the response generating model using ALiBi
- D. Reduce the maximum output tokens of the new model
- E. Reduce the number of records retrieved from the vector database

**Answer: A,E**

Explanation:

\* Problem Context: After switching to a model with a shorter context length, the error message indicating that the prompt token count has exceeded the limit suggests that the input to the model is too large.

\* Explanation of Options:

\* Option A: Use a smaller embedding model to generate- This wouldn't necessarily address the issue of prompt size exceeding the model's token limit.

\* Option B: Reduce the maximum output tokens of the new model- This option affects the output length, not the size of the input being too large.

\* Option C: Decrease the chunk size of embedded documents- This would help reduce the size of each document chunk fed into the model, ensuring that the input remains within the model's context length limitations.

\* Option D: Reduce the number of records retrieved from the vector database- By retrieving fewer records, the total input size to

the model can be managed more effectively, keeping it within the allowable token limits.

\* Option E: Retrain the response generating model using ALiBi- Retraining the model is contrary to the stipulation not to change the response generating model.

Options C and D are the most effective solutions to manage the model's shorter context length without changing the model itself, by adjusting the input size both in terms of individual document size and total documents retrieved.

### NEW QUESTION # 61

A Generative AI Engineer has already trained an LLM on Databricks and it is now ready to be deployed. Which of the following steps correctly outlines the easiest process for deploying a model on Databricks?

- A. Wrap the LLM's prediction function into a Flask application and serve using Gunicorn
- B. Log the model as a pickle object, upload the object to Unity Catalog Volume, register it to Unity Catalog using MLflow, and start a serving endpoint
- C. Save the model along with its dependencies in a local directory, build the Docker image, and run the Docker container
- **D. Log the model using MLflow during training, directly register the model to Unity Catalog using the MLflow API, and start a serving endpoint**

**Answer: D**

### NEW QUESTION # 62

A Generative AI Engineer is tasked with deploying an application that takes advantage of a custom MLflow Pyfunc model to return some interim results.

How should they configure the endpoint to pass the secrets and credentials?

- A. Pass the secrets in plain text
- **B. Add credentials using environment variables**
- C. Pass variables using the Databricks Feature Store API
- D. Use `spark.conf.set()`

**Answer: B**

Explanation:

Context: Deploying an application that uses an MLflow Pyfunc model involves managing sensitive information such as secrets and credentials securely.

Explanation of Options:

\* Option A: Use `spark.conf.set()`: While this method can pass configurations within Spark jobs, using it for secrets is not recommended because it may expose them in logs or Spark UI.

\* Option B: Pass variables using the Databricks Feature Store API: The Feature Store API is designed for managing features for machine learning, not for handling secrets or credentials.

\* Option C: Add credentials using environment variables: This is a common practice for managing credentials in a secure manner, as environment variables can be accessed securely by applications without exposing them in the codebase.

\* Option D: Pass the secrets in plain text: This is highly insecure and not recommended, as it exposes sensitive information directly in the code.

Therefore, Option C is the best method for securely passing secrets and credentials to an application, protecting them from exposure.

### NEW QUESTION # 63

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