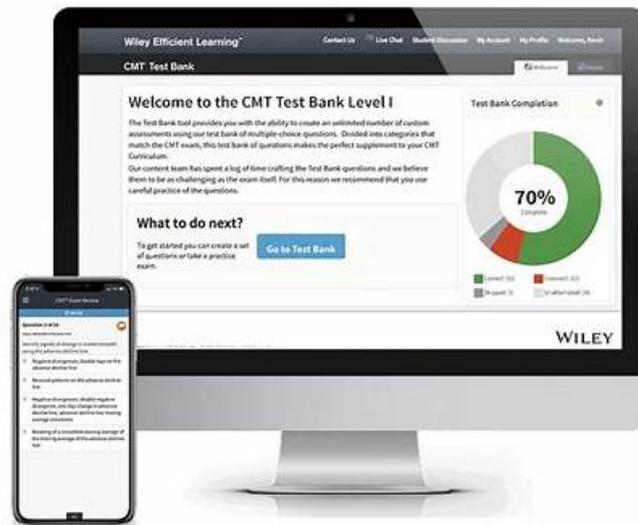


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CMT Association CMT Level 1 Exam Sample Questions (Q148-Q153):

NEW QUESTION # 148

Which moving average(s) assign GREATER weight to the most recent data?

- A. Exponential
- B. B and C
- C. Simple
- D. Weighted

Answer: B

Explanation:

Detailed Explanation:

* Simple (SMA):Equal weight to all data.

* Weighted (WMA):Linearly assigns more weight to recent data.

* Exponential (EMA): Assigns exponentially more weight to recent data.9293 Therefore, both Weighted and Exponential averages emphasize recent prices to reduce lag.9495

NEW QUESTION # 149

The concept that best helps quantify the risk of a trading system is the:

- A. Longest flat time
- **B. Maximum cumulative drawdown**
- C. Maximum favorable excursions
- D. Length of average winning trade

Answer: B

Explanation:

Detailed Explanation:

Maximum Cumulative Drawdown measures the largest peak-to-trough decline in account equity. It is the standard metric for assessing the "pain" or risk of ruin associated with a specific trading strategy.

NEW QUESTION # 150

Manuel Luscher, CMT, is meeting with a potential client. Luscher tells the potential client that "My biggest client, Tom Cardazo, is a good friend of yours and has been very happy with his compound annual return of over 10%." Luscher also tells the potential client that "I will make sure that your transactions are completed before trading for my family's accounts." With respect to the MTA Code of Ethics, Luscher's actions are:

- A. Not in violation of the Code
- B. In violation of the Code with respect to the priority of transactions, but not with respect to disclosing client information
- C. In violation of the Code with respect to both disclosing client information and the priority of transactions
- **D. In violation of the Code with respect to disclosing client information, but not with respect to the priority of transactions**

Answer: D

Explanation:

Detailed Explanation:

* Client Info:Luscher violated confidentiality by revealing the name of a client (Tom Cardazo) and his returns without permission.

* Priority:Stating that client transactions come before family accounts is actuallyconsistentwith the Code (Priority of Transactions). Clients come first. Therefore, he is only in violation regarding the client information disclosure9999.

NEW QUESTION # 151

The candlestick formation counterattack lines are formed when:

- A. Two candles have the same open
- B. Same-colored candles have the same close
- C. A doji breaks a moving average
- **D. Opposite colored candles have the same close**

Answer: D

Explanation:

Detailed Explanation:

Counterattack Lines (Meeting Lines) occur when two candles of opposite colors (one black, one white) meet at the same closing price. (e.g., Bullish Counterattack: Long black candle, followed by a gap down open, then a white candle that rallies to close at the previous day's close).

NEW QUESTION # 152

When an indicator or oscillat13or fails to confirm the trend, it is called a:1415

- A. Breakout1819
- **B. Divergence2021**
- C. Breadth thrust2223
- D. Gap1617

Answer: B

Explanation:

De26tailed Explanation:27

Divergence occurs when the price of an asset moves in one direction (e.g., makes a higher high) while a technical indicator moves in the opposite direction (e.g., makes a lower high), signaling potential weakness in the trend.

NEW QUESTION # 153

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