

Realistic Dumps ISO-9001-Lead-Auditor Cost - QMS ISO 9001:2015 Lead Auditor Exam 100% Pass Quiz

ISO 9001 Lead Auditor Sample Exam Questions and Answers:

There are 4 sections in the ISO 9001 QMS Lead Auditor examination as illustrated in table 1 below. In this ISO 9001 lead auditor sample exam questions and answer article, we will examine one question per section and provide their answers.

In table 1 you can find the question break-ups and the passing scores.

Table 1: ISO 9001 Exam Section and Question break-up

Section	No of Questions	Minimum Pass Mark	Maximum Pass Mark
1	5	4.5	10
2	4	9.5	20
3	3	14.5	30
4	3	14.5	30
Total	15	62.5	90

Table 1 shows us the total available and minimum marks to pass each section. It is mandatory to pass each section. For example: if you have scored 6 marks on section 1, 18 marks on section 2, 10 marks on section 3 & 30 marks on section 4, your subtotal would be 64 marks. Though you have scored a total of 64 marks, since you haven't scored the minimum passing marks on section 3, it will still be considered a failure.

Now let's look at a few sample exam questions in each section.

Section 1:

This section has 5 questions and each carries 2 marks,

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The competition in the tech sector is getting tougher and tougher day by day. Therefore, Test4Sure is offering updated and latest PECB ISO-9001-Lead-Auditor Questions so aspirants can ace the PECB ISO-9001-Lead-Auditor test in a short time and stay competitive in today's challenging job market.

PECB ISO-9001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">Quality management system (QMS) requirements: It assesses your abilities to point out and explain different requirements for a quality management system based on ISO 9001.
Topic 2	<ul style="list-style-type: none">Fundamental principles and concepts of a quality management system: The main objective of this domain is to evaluate your skills of explaining and applying ISO 9001 principles and concepts.
Topic 3	<ul style="list-style-type: none">Fundamental audit concepts and principles: Questions about interpreting and applying the main concepts and principles related to a QMS audit appear in this topic.

PECB QMS ISO 9001:2015 Lead Auditor Exam Sample Questions (Q173-Q178):

NEW QUESTION # 173

Which of the following subjects should an auditor discuss when communicating with the auditee's top management?

- A. Internal audit
- B. The quality policy
- C. Both A and B

Answer: C

Explanation:

Comprehensive and Detailed In-Depth Explanation:

During communication with top management, the auditor should discuss:

- * The quality policy (ISO 9001:2015, Clause 5.2.1), ensuring that it is established, communicated, and understood.
- * Internal audits (ISO 9001:2015, Clause 9.2), verifying that they are planned and effectively implemented.

These discussions help assess leadership commitment and the effectiveness of the QMS.

Reference:

ISO 9001:2015, Clause 5.2.1 (Establishing the Quality Policy)

ISO 9001:2015, Clause 9.2 (Internal Audit)

NEW QUESTION # 174

Scenario 6: Davis Clinic (DC) is an American medical center focused on integrated health care. Since its establishment DC was committed to providing qualitative services for its clients, which is the reason why the company decided to implement a quality management system (QMS) based on ISO 9001. After a year of having an active QMS in place, DC applied for a certification audit.

A team of five auditors, from a well-known certification body, was selected to conduct the audit. Eva was appointed as the audit team leader. After three days of auditing, the team gathered to review and examine their findings. They also discussed the audit findings with DC's top management and then drafted the audit conclusions.

In the closing meeting, which was held between the audit team and the top management of DC, Eva presented two nonconformities that were detected during the audit. Eva stated that the company did not retain documented information regarding its outsourced services for an analysis laboratory and regarding the conducted management reviews. During the closing meeting, the audit team required from DC's top management to come up with corrective action plans within two weeks. Although the top management did not agree with the audit findings, the audit team insisted that the auditee must submit corrective actions within the given time frame in order for the audit activities to continue.

Once the action plans were evaluated, the audit team began preparing the audit report. Eva required from the team to provide accurate descriptions of the audit findings and the audit conclusions. The report was then distributed to all the interested parties involved in the audit, including the certification body. Based on the report, the certification body together with Eva, as the audit team leader, made the certification decision.

Based on the scenario above, answer the following question:

Is it acceptable for the certification body and Eva to make the certification decision together?

- **A. No, auditors that take part in the audit should never take part in the certification decision**
- B. Yes, because the audit team leader must be involved in the certification decision
- C. No, only the audit team leader must make the certification decision

Answer: A

Explanation:

Comprehensive and Detailed In-Depth Explanation:

According to ISO 17021-1:2015, Clause 9.5.1 (Certification Decision):

- * Auditors who conduct the audit cannot be involved in the certification decision to ensure impartiality.
- * The certification body alone is responsible for making the certification decision based on the audit report and findings.
- * The audit team leader (Eva) must not take part in the certification decision.

Thus, C is the correct answer.

Reference:

ISO 17021-1:2015, Clause 9.5.1 (Certification Decision)

NEW QUESTION # 175

Whistiekleen is a national dry cleaning and laundry organisation with 50 shops. You are conducting a surveillance audit of the Head Office and are sampling customer complaints. You find that 80% of complaints originate from five shops in the same region. Most of these complaints relate to damage to customer laundry.

The Quality Manager tells you that these are the oldest shops in the organisation. The cleaning equipment needs replacing but the organisation cannot afford it now. You learn that the shop managers were told to dismiss most of the claims based on the poor quality of the laundered materials.

On raising the matter with senior management, you are told that there are plans to replace the equipment in these shops over the next five years.

Match the ISO 9001 Clauses to the statements.

Answer:

Explanation:

□ Explanation:

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□ Clause 8.5.3 - Property Belonging to Customers or External Providers: This clause requires the organization to care for customer property while it is under their control. If there is damage, they must inform the customer and retain documented information. Thus, informing customers of the reason for laundry damage relates directly to this clause. # Reference: ISO 9001:2015 Clause 8.5.3

□ Clause 7.1.3 b - Infrastructure: It refers to the provision and maintenance of infrastructure necessary for the operation of processes.

This includes equipment. If resources are not allocated for outdated equipment, it directly breaches this clause. # Reference: ISO 9001:2015 Clause 7.1.3 b Clause 10.2.1 b - Corrective Action: This clause covers actions to eliminate the causes of nonconformities to prevent recurrence, including evaluating and correcting customer complaints. # Reference: ISO 9001:2015 Clause 10.2.1 b

□ Clause 5.1.2 b - Customer Focus (Top Management): Top management must ensure risks to customer satisfaction, including property damage, are addressed. This aligns with addressing the risk of damaging customer laundry. # Reference: ISO 9001:2015 Clause 5.1.2 b Clause 6.2 - Quality Objectives and Planning to Achieve Them: Setting objectives for reducing customer complaints (like those about laundry damage) falls under this clause. # Reference: ISO 9001:2015 Clause 6.2

NEW QUESTION # 176

Select the two statements that are true.

- A. The audit team leader shall only communicate any concerns to the auditee during the closing meeting.
- **B. Where the available audit evidence indicates that the audit objectives are unattainable, the individual(s) managing the audit programme shall be immediately informed.**
- C. An immediate and significant risk to the audit shall be informed to the auditee and if possible to the certification body.
- D. Changes to the audit scope, which become apparent during the audit, shall be approved with the auditee.
- **E. During the audit, the audit team leader shall periodically assess audit progress.**
- F. Inform the general manager if the auditor finds uncontrolled documents.

Answer: B,E

Explanation:

* Analyzing Each Statement:

* A.Incorrect. The audit team leader must communicate concerns as they arise, not just during the closing meeting. Per ISO 19011:2018 Clause 6.4.9, significant concerns should be shared promptly with the auditee and audit client during the audit process to allow for immediate understanding and potential resolution.

* B.Incorrect. The auditor or team leader is not specifically required to inform the general manager about uncontrolled documents. Instead, the issue is communicated within the framework of the audit findings to the audit client or auditee, as appropriate.

Reference: ISO 19011:2018, Clause 6.6.2.

D.Incorrect. Changes to the audit scope require the approval of the audit client (e.g., the certification body), not just the auditee. The scope is agreed upon in advance, and significant changes must be communicated with all stakeholders.

E:Correct. The audit team leader is responsible for periodically assessing the audit progress to ensure it aligns with the audit objectives and planned scope.Reference: ISO 19011:2018, Clause 6.4.5.

F:Incorrect. While immediate and significant risks should be communicated to the auditee, notifying the certification body is not an immediate responsibility of the audit team leader. The communication process depends on the procedures defined by the audit programme manager.

Why Options C and E Are Correct:

C: Communicating unattainable audit objectives ensures the audit remains effective and prevents unnecessary effort or misalignment with goals.

E: Periodic assessments by the team leader help in maintaining alignment with the scope, objectives, and time constraints, ensuring the audit's success.

Relevant References from ISO Standards:

ISO 19011:2018, Clause 6.6.2: Describes procedures for when audit objectives are unattainable.

ISO 19011:2018, Clause 6.4.5: Emphasizes the audit team leader's responsibility for ongoing assessment of audit progress.

NEW QUESTION # 177

State the correct sequence of events in the certification process for an organisation to obtain third-party accredited certification to ISO 9001.

□

Answer:

Explanation:

□ Reference: ISO 9001:2015 Clause 9.2 emphasizes the planning of audits and their scheduling to achieve desired results.

Step 2: Conduct Certification Audit StagesThe certification process includes a two-stage audit.

Stage 1 Audit: Review of documentation to verify readiness and understanding of the Quality Management System (QMS).

Stage 2 Audit: A detailed evaluation of the implementation and effectiveness of the QMS against ISO 9001 requirements.Reference: Clause 8.1 of ISO 9001:2015 discusses operational planning and control, which includes the preparation for audit activities.

Step 3: Award ISO 9001 CertificateAfter successfully completing the certification audits and resolving any identified non-conformities, the certification body awards the ISO 9001 certificate. This certificate demonstrates that the organization's QMS meets the ISO 9001 standard.Reference: Clause 10 of ISO 9001:

2015 focuses on continual improvement and conformity, which leads to the certification issuance.

Step 4: Accredited Certification BodyCertification bodies must be accredited to ensure they meet international standards for certification. Accreditation is conducted by bodies like UKAS (United Kingdom Accreditation Service) or ANAB (ANSI National Accreditation Board), ensuring the credibility and global acceptance of the certification process.Reference: Clause 7.1.5 of ISO 9001 covers resource monitoring, which supports the integrity of the certification process.

By following these steps, organizations can ensure an effective and compliant certification process, achieving ISO 9001 certification.

NEW QUESTION # 178

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