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ISACA AAISM Exam Syllabus Topics:

Topic	Details
Topic 1	• AI Technologies and Controls: This section of the exam measures the expertise of AI Security Architects and assesses knowledge in designing secure AI architecture and controls. It addresses privacy, ethical, and trust concerns, data management controls, monitoring mechanisms, and security control implementation tailored to AI systems.
Topic 2	• AI Governance and Program Management: This section of the exam measures the abilities of AI Security Governance Professionals and focuses on advising stakeholders in implementing AI security through governance frameworks, policy creation, data lifecycle management, program development, and incident response protocols.
Topic 3	• AI Risk Management: This section of the exam measures the skills of AI Risk Managers and covers assessing enterprise threats, vulnerabilities, and supply chain risk associated with AI adoption, including risk treatment plans and vendor oversight.

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ISACA Advanced in AI Security Management (AAISM) Exam Sample Questions (Q113-Q118):

NEW QUESTION # 113
AI developers often find it difficult to explain the processes inside deep learning systems PRIMARILY because:

- A. Generated knowledge dynamically changes in memory without being tracked by change history logs
- B. Applied algorithms are based on probability theories to improve system performance
- C. Neural network architectures can include statistical methods that are not fully understood
- D. Training data input for learning is spread throughout the public domain and continues to change

Answer: C

Explanation:
Deep learning models learn high-dimensional, non-linear representations through layered parameterization that resists simple causal narratives. The internal mechanisms (e.g., distributed feature representations and complex statistical transformations) are difficult to map to human-interpretable rules, making explanation challenging. This is the primary reason for explainability difficulty in deep learning.
Option A addresses data origin/volatility, not explainability. Option B mischaracterizes model behavior as mutable "knowledge" without logs. Option C notes probabilistic foundations but that alone does not make systems inexplicable.
References: AI Security Management (AAISM) Body of Knowledge: "Explainability and Interpretability- Complexity in Deep Learning," "Model Behavior, Surrogates, and Post-hoc Explanations"; AAISM Study Guide: "Interpreting High-Dimensional Representations," "Limits of Transparency in Neural Architectures."

NEW QUESTION # 114
An organization is looking to purchase an AI application from a vendor but is concerned about the security of its data. Which of the following is the MOST effective way to address this concern?

- A. Initiate discussions between the organization's and the vendor's legal teams
- B. Assess the vendor's publicly available AI usage policy
- C. Mandate an AI security audit by an external auditor before procurement
- D. Ensure vendors disclose how the application uses the organization's data

Answer: D

Explanation:
AAISM's approach to third-party and vendor risk for AI systems stresses data usage transparency as a primary control. The guidance explains that organizations must obtain clear documentation on "what data is collected, how it is processed, stored, retained, and whether it is reused for training or shared with other parties." Option C directly addresses this by requiring the vendor to disclose how the application uses organizational data, enabling appropriate risk assessment, contractual controls, and technical safeguards. An external audit (A) can be useful but may be costly and not always feasible pre-procurement. Legal discussions (B) are important but ineffective without clarity on data flows. Publicly available policies (D) are often high- level and marketing-oriented, lacking the specificity required for proper risk evaluation. Therefore, obtaining explicit data usage disclosures from the vendor is the most effective starting point.
References: AI Security Management™ (AAISM) Study Guide - Third-Party AI Risk and Data Sharing; Vendor Governance Requirements.

NEW QUESTION # 115
An organization is implementing an AI-based credit assessment engine using internal and third-party customer data. Which of the following BEST aligns with data management controls for the AI life cycle?

- A. Encrypted isolation and dynamic access controls on training data pipelines
- B. Use of hashed identifiers to anonymize datasets used for model validation and internal analytics
- C. Limitation of model training to structured data from vetted sources to minimize ingestion risk
- D. Documented procedures for data sourcing, lineage tracking, and quality validation

Answer: D

Explanation:
AAISM emphasizes that data governance over the full AI life cycle is foundational. The official content describes effective AI data management as including documented procedures for: (1) how data is sourced, (2) how lineage is tracked from origin to model, and (3) how data quality is validated and monitored. This ensures transparency, accountability, and auditability, which are especially critical in regulated areas like credit assessments. While hashing identifiers (B) and encryption/access controls (C) are important privacy and security mechanisms, they are partial controls within a broader governance framework and do not, on their own, establish end-to-end life-cycle management. Limiting training to structured data (D) is a design choice and may reduce risk but is neither sufficient nor required as a best practice. Option A directly reflects AAISM' s prescribed governance controls for AI data throughout its life cycle.
References: AI Security Management™ (AAISM) Study Guide - AI Data Governance and Life Cycle Management; Data Lineage and Quality Assurance.

NEW QUESTION # 116
Which of the following BEST ensures AI components are validated during disaster recovery testing?

- A. Disconnecting model training clusters to test retraining workflows
- B. Running simulated data-loss scenarios by deleting test feature-store records
- C. Monitoring model performance during failover and recovery
- D. Simulating DoS attacks on AI APIs

Answer: C

Explanation:
AAISM states that AI disaster recovery testing must validate that models behave correctly during failover.
The only option that tests actual operational continuity of AI components is:
monitoring model performance during failover
This validates stability, functionality, and resilience under disaster conditions.
Options A, B, and C test isolated scenarios but do not validate end-to-end AI operational continuity.
References: AAISM Study Guide - AI Resilience & Disaster Recovery Testing.

NEW QUESTION # 117
Which of the following BEST strengthens information security controls around the use of generative AI applications?

- A. Validating AI model training data
- B. Implementing a kill switch
- C. Monitoring AI outputs against policy
- D. Ensuring controls exceed industry benchmarks

Answer: C

Explanation:
AAISM identifies continuous monitoring of AI outputs-especially generative outputs-as the most effective security control, ensuring that violations, unsafe responses, data leakage, and policy-breaking behavior are detected and corrected.
A kill switch (C) is a last-resort measure, not a primary control. Exceeding benchmarks (A) does not ensure relevance. Validating training data (D) is important but insufficient for generative output risks.
References: AAISM Study Guide - Generative AI Security Controls; Output Monitoring and Policy Alignment.

NEW QUESTION # 118
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