

Seeing ISO-45001-Lead-Auditor Online Version - Say Goodbye to PECB Certified ISO 45001 Lead Auditor Exam



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PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"> Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.
Topic 2	<ul style="list-style-type: none"> Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.
Topic 3	<ul style="list-style-type: none"> ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 4	<ul style="list-style-type: none"> Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.

Topic 5	<ul style="list-style-type: none"> • Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.
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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q79-Q84):

NEW QUESTION # 79

Consider the emergency preparedness and response process outlined in ISO 45001. Match each process activity to the correct stage of the PDCA cycle.

To complete the table click on the blank section you want to complete so it is highlighted in red and then click on the applicable text from the options the appropriate process. "

Answer:

Explanation:

NEW QUESTION # 80

At a wall before sweeping up the residue into baskets. They have asked to see the criteria (in this case work instructions) relating to this process and find that workers are following the instructions given. The auditor in training, however, considers the process to be dangerous and wants to raise a nonconformity against clause 8.1.1.

How would you react? Select four.

- A. Stop the process immediately due to the danger of broken glass to the employees identified by the auditor in training.
- B. Ask the auditor in training to consult the auditee before raising a nonconformity
- **C. Ask the workers if they have been consulted as to how safe they believe the activity is and whether they have ever been injured.**
- **D. Advise the auditor in training that they cannot raise a nonconformity against 8.1.1 as there is no evidence that the planned and implemented process is not effectively handling waste safely.**
- E. Invite the auditor in training to take part in breaking bottles to determine if the process really is dangerous or not. Providing they are not hurt, take no additional action.
- **F. Advise the auditor in training to focus on hazard identification and any subsequent assessment of OH&S risks prior to making a determination.**
- G. Support the auditor in training's decision to raise a nonconformity against 8.1.1 as you share their view that the process is dangerous.
- **H. Ask top management if they have considered an alternative way of working more safely with broken glass.**

Answer: C,D,F,H

NEW QUESTION # 81

To verify information, auditors need to use a combination of open and closed questions. In what two situations would you use a 'closed' question?

- A. To obtain an overview of how a task is performed
- **B. To check that your understanding of a situation is correct**
- C. To follow your audit trail
- D. To gain greater insight into the operation of a process
- **E. To confirm conformity or a non-conformity finding**
- F. To establish communication with the auditee with a view to building trust

Answer: B,E

Explanation:

The correct answers are D and F .

In audit interviewing practice, open questions are mainly used to get explanation, description, and insight, while closed questions are used to confirm specific facts or verify that the auditor's understanding is correct.

Guidance on audit interviews says auditors should rely mainly on open questions for expanded responses and use closed questions to confirm answers . (The Auditor) That is why D. To confirm conformity or a non-conformity finding is correct. Once the auditor has gathered evidence, a closed question can be used to verify a precise point, confirm whether a requirement has or has not been met, or make sure the factual basis of a conformity/nonconformity is accurate before recording the finding. ISO 19011 also states that nonconformities and supporting audit evidence should be reviewed with the auditee so the evidence is accurate and understood. (Synerisia Foundation)

F). To check that your understanding of a situation is correct is also correct. Closed questions are especially useful at the end of a discussion to confirm the auditor's interpretation of what was said or observed. This is a standard interview technique in auditing. (Designing Buildings) Why the other options are not correct:

- * A. To obtain an overview of how a task is performed is better done with open questions , because the auditor needs explanation and sequence. (The Auditor)
- * B. To establish communication with the auditee with a view to building trust is usually done through general conversation and open dialogue, not mainly through closed questioning. (The Auditor)
- * C. To gain greater insight into the operation of a process also requires open questions . (The Auditor)
- * E. To follow your audit trail generally needs probing and exploratory questions, which are typically open rather than closed. (The Auditor)

NEW QUESTION # 82

An internal auditor of a manufacturer of plastic packaging products for the food industry raised a nonconformity against section 10.2 of ISO 45001 in Report JA202. The nonconformity (NC3) stated: "The level of reported health and safety incidents has increased by 9.7% over the last 12 months." As the third-party auditor who comes across this nonconformity during a surveillance audit, how would you react? Select one.

- A. You would investigate whether the incident rate featured in the previous internal audit report.
- **B. You would find out what corrective action was taken and whether it was effective.**
- C. You would raise a nonconformity since the incident rate had not reduced at the time of the internal audit.
- D. You would ask the OHS Manager why the incident rate was rising by so much in one year.

Answer: B

NEW QUESTION # 83

Which one option defines what is to be achieved by an audit?

- **A. The objective of the audit**
- B. A finding of the audit
- C. An audit criterion
- D. The scope of the audit"

Answer: A

NEW QUESTION # 84

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