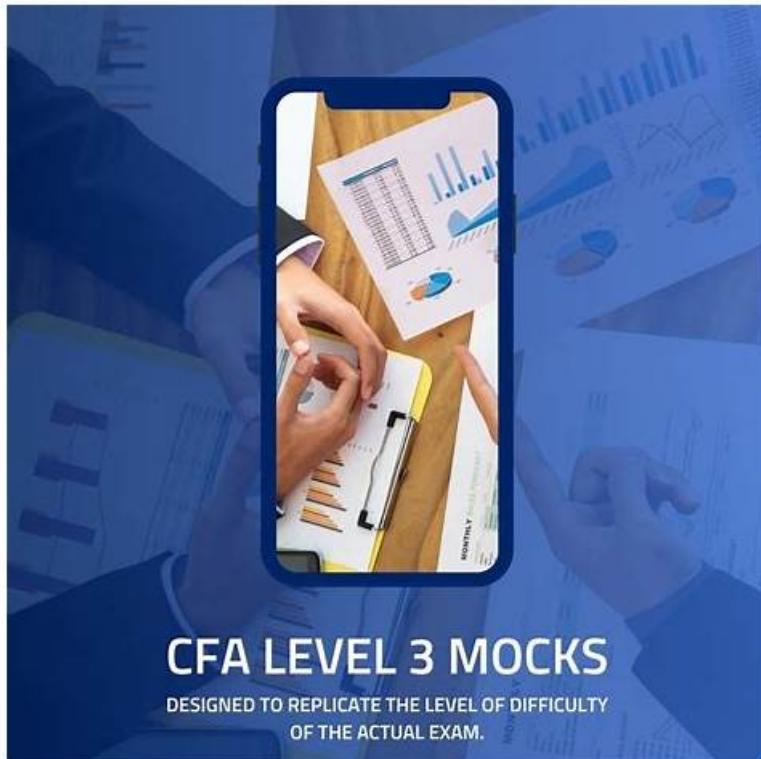


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CFA Institute CFA Level I Chartered Financial Analyst Sample Questions (Q1606-Q1611):

NEW QUESTION # 1606

A capital lease:

- A. Results when the lease term is less than 50% of estimated economic life.
- B. Is used for off-balance-sheet accounting.
- C. Transfers the risks and rewards of ownership.

Answer: C

Explanation:

It is reported on the balance sheet.

NEW QUESTION # 1607

The benefits provided by investment companies include all of the following except:

- A. individually tailored portfolios
- B. diversification
- C. professional management and record keeping

Answer: A

Explanation:

Investment companies benefits of professional management, diversification, and record keeping. They typically do not provide individually tailored portfolios.

NEW QUESTION # 1608

The market price of stock X is now \$30. An order specifies the stock must be bought once the price drops to \$25 or below. This order is likely to be a:

- A. market order.
- B. stop order.
- C. limit order.

Answer: C

Explanation:

Investors can issue limit orders, whereby they specify prices at which they are willing to buy or sell a security. For example, if the stock falls below the limit on a limit-buy order, then the trade is to be executed. Orders also can be limited by a time period (1 day, 1 week, 1 month, or good till canceled, etc.).

NEW QUESTION # 1609

A 30-day T-Bill is selling at a money market yield of 2.95%. What is its equivalent bank discount yield?

- A. 2.94%
- B. 2.97%
- C. 2.95%

Answer: A

Explanation:

Based on money market yield: $0.0295 = [(100,000 - P)/P] \times (360/30) \Rightarrow P = 100,000/[1+0.0295/12] = 99,754.8$

Bank discount yield = [(100,000 - 99,754.8)/100,000] x (360/30) = 0.0294, or 2.94%.

NEW QUESTION # 1610

Which of the following are not addressed by CFA Institute's GIPS?

- A. Disclosure of conflicts of interest.
- B. Presentation of investment results.
- C. Construction and maintenance of composites.

Answer: A

NEW QUESTION # 1611

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