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IAPP AIGP Exam Syllabus Topics:

Topic	Details

Topic 1	<ul style="list-style-type: none"> Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.
Topic 2	<ul style="list-style-type: none"> Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.
Topic 3	<ul style="list-style-type: none"> Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.
Topic 4	<ul style="list-style-type: none"> Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.

IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q141-Q146):

NEW QUESTION # 141

Which of the following use cases would be best served by a non-AI solution?

- A. A non-profit wants to develop a social media presence.
- B. An e-commerce provider wants to make personalized recommendations.
- C. A customer service agency wants to automate answers to common questions.
- D. A business analyst wants to forecast future cost overruns and underruns.

Answer: A

Explanation:

Developing a social media presence for a non-profit is best served by non-AI solutions. This task primarily involves content creation, community engagement, and strategic planning, which are effectively managed by human expertise and traditional marketing tools. AI is more suitable for tasks requiring automation, large-scale data analysis, and personalized recommendations, such as e-commerce personalization, forecasting cost overruns, or automating customer service responses. Reference: AIGP Body of Knowledge on AI Use Cases and Applications.

NEW QUESTION # 142

The most important factor in ensuring fairness when training an AI system is?

- A. The data labeling and classification.
- **B. The data attributes and variability.**
- C. The model accuracy and scale.
- D. The architecture and model selection.

Answer: B

Explanation:

Ensuring fairness when training an AI system largely depends on the data attributes and variability. This involves having a diverse and representative dataset that accurately reflects the population the AI system will serve. Fairness can be compromised if the data is biased or lacks variability, as the model may learn and perpetuate these biases. Diverse data attributes ensure that the model learns from a wide range of examples, reducing the risk of biased predictions. Reference: AIGP Body of Knowledge on Ethical AI Principles and Data Management.

NEW QUESTION # 143

CASE STUDY

A company is considering the procurement of an AI system designed to enhance the security of IT infrastructure. The AI system analyzes how users type on their laptops, including typing speed, rhythm and pressure, to create a unique user profile. This data is then used to authenticate users and ensure that only authorized personnel can access sensitive resources.

All of the following are obligations of the company as a data controller when implementing its AI system EXCEPT?

- **A. Ensuring that third-party processors are based in the same country as the company**
- B. Implementing technical and organizational measures
- C. Conducting a Data Protection Impact Assessment (DPIA) / Privacy Impact Assessment (PIA)
- D. Allowing data subject access requests (DSARs)

Answer: A

Explanation:

The correct answer is A. While location of processors may have implications (such as for data transfers under GDPR), there is no absolute requirement that third-party processors be based in the same country.

From the AI Governance in Practice Report 2024 and ILT Guide:

"Data controllers are responsible for ensuring that third-party processors have adequate protections, but not necessarily that they reside in the same jurisdiction. What is required is legal safeguards (e.g., SCCs) for international transfers, not same-country location." In contrast, DPIAs, DSARs, and implementation of technical/organizational safeguards are explicitly required under GDPR and responsible AI frameworks.

NEW QUESTION # 144

According to the GDPR, what is an effective control to prevent a determination based solely on automated decision-making?

- A. Define suitable measures to safeguard personal data.
- B. Provide a just-in-time notice about the automated decision-making logic.
- C. Provide a right to review automated decision.
- **D. Establish a human-in-the-loop procedure.**

Answer: D

Explanation:

The GDPR requires that individuals have the right to not be subject to decisions based solely on automated processing, including profiling, unless specific exceptions apply. One effective control is to establish a human-in-the-loop procedure (D), ensuring human oversight and the ability to contest decisions. This goes beyond just-in-time notices (A), data safeguarding (B), or review rights (C), providing a more robust mechanism to protect individuals' rights.

NEW QUESTION # 145

CASE STUDY

Please use the following answer the next question:

ABC Corp, is a leading insurance provider offering a range of coverage options to individuals. ABC has decided to utilize artificial intelligence to streamline and improve its customer acquisition and underwriting process, including the accuracy and efficiency of pricing policies.

ABC has engaged a cloud provider to utilize and fine-tune its pre-trained, general purpose large language model ("LLM"). In particular, ABC intends to use its historical customer data-including applications, policies, and claims-and proprietary pricing and risk strategies to provide an initial qualification assessment of potential customers, which would then be routed .. human underwriter for final review.

ABC and the cloud provider have completed training and testing the LLM, performed a readiness assessment, and made the decision to deploy the LLM into production. ABC has designated an internal compliance team to monitor the model during the first month, specifically to evaluate the accuracy, fairness, and reliability of its output. After the first month in production, ABC realizes that the LLM declines a higher percentage of women's loan applications due primarily to women historically receiving lower salaries than men.

During the first month when ABC monitors the model for bias, it is most important to?

- A. Seek approval from management for any changes to the model.
- B. Analyze the quality of the training and testing data.
- C. Compare the results to human decisions prior to deployment.
- D. **Continue disparity testing.**

Answer: D

Explanation:

During the first month of monitoring the model for bias, it is most important to continue disparity testing.

Disparity testing involves regularly evaluating the model's decisions to identify and address any biases, ensuring that the model operates fairly across different demographic groups.

Reference: Regular disparity testing is highlighted in the AIGP Body of Knowledge as a critical practice for maintaining the fairness and reliability of AI models. By continuously monitoring for and addressing disparities, organizations can ensure their AI systems remain compliant with ethical and legal standards, and mitigate any unintended biases that may arise in production.

NEW QUESTION # 146

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