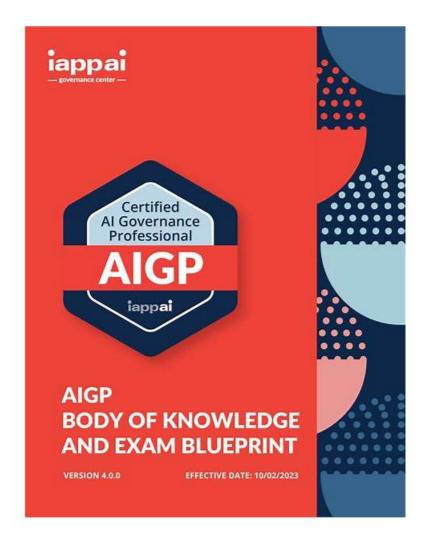
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## **IAPP AIGP Exam Syllabus Topics:**

Topic	Details
Topic 1	<ul> <li>Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.</li> </ul>

Topic 2	Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.
Topic 3	<ul> <li>Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.</li> </ul>
Topic 4	Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.

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# IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q150-Q155):

#### **NEW QUESTION # 150**

All of the following are penalties and enforcements outlined in the EU Al Act EXCEPT?

- A. The Al Pact will act as a transitional bridge until the Regulations are fully enacted.
- B. Fines for SMEs and startups will be proportionally capped.
- C. Fines for violations of banned Al applications will be €35 million or 7% global annual turnover (whichever is higher).
- D. Rules on General Purpose Al will apply after 6 months as a specific provision.

#### Answer: A

#### Explanation:

The EU AI Act outlines specific penalties and enforcement mechanisms to ensure compliance with its regulations. Among these, fines

for violations of banned AI applications can be as high as €35 million or 7% of the global annual turnover of the offending organization, whichever is higher. Proportional caps on fines are applied to SMEs and startups to ensure fairness. General Purpose AI rules are to apply after a 6-month period as a specific provision to ensure that stakeholders have adequate time to comply. However, there is no provision for an "AI Pact" acting as a transitional bridge until the regulations are fully enacted, making option C the correct answer.

#### **NEW QUESTION #151**

Which model is best for efficiency and agility, and tailored for lower-resource settings?

- A. Multimodal model.
- B. Supervised learning model.
- C. Small language model.
- D. Generative language model.

#### Answer: C

#### Explanation:

Small language models (SLMs)arelightweight, requireless compute, and are better suited to low-resource or edge environments, making them ideal for agility and efficiency.

From general AI best practices:

"SLMs can be deployed in environments with limited computing power, ensuring lower cost and faster integration in constrained contexts." (aligned with industry-wide AI deployment strategies)

#### **NEW QUESTION #152**

CASE STUDY

Please use the following answer the next question:

Good Values Corporation (GVC) is a U.S. educational services provider that employs teachers to create and deliver enrichment courses for high school students. GVC has learned that many of its teacher employees are using generative Al to create the enrichment courses, and that many of the students are using generative Al to complete their assignments.

In particular, GVC has learned that the teachers they employ used open source large language models ("LLM") to develop an online tool that customizes study questions for individual students. GVC has also discovered that an art teacher has expressly incorporated the use of generative Al into the curriculum to enable students to use prompts to create digital art.

GVC has started to investigate these practices and develop a process to monitor any use of generative Al, including by teachers and students, going forward.

Which of the following risks should be of the highest concern to individual teachers using generative Al to ensure students learn the course material?

- A. Model accuracy.
- B. Financial cost.
- C. Technical complexity.
- D. Copyright infringement.

#### Answer: A

#### Explanation:

The highest concern for individual teachers using generative AI to ensure students learn the course material is model accuracy. Ensuring that the AI-generated content is accurate and relevant to the curriculum is crucial for effective learning. If the AI model produces inaccurate or irrelevant content, it can mislead students and hinder their understanding of the subject matter. Reference: According to the AIGP Body of Knowledge, one of the core risks posed by AI systems is the accuracy of the data and

Reference: According to the AIGP Body of Knowledge, one of the core risks posed by AI systems is the accuracy of the data and models used. Ensuring the accuracy of AI-generated content is essential for maintaining the integrity of the educational material and achieving the desired learning outcomes.

#### **NEW QUESTION # 153**

Scenario:

A company is using different types of AI systems to enhance consumer engagement. These include chatbots, recommendation engines, and automated content generation tools.

Which of the following situations would be least likely to raise concerns under existing consumer protection laws?

- A. An AI tool using scraped digital content to generate news summaries on a publishing website
- B. An AI customer service system claiming that it is as accurate as a human support agent
- C. An online platform offering recommendations to its users by displaying user-specific content and targeted advertisements
- D. An AI algorithm being used in a credit decision-making process by a financial institution

#### Answer: C

#### Explanation:

The correct answer is D. Personalized content and advertisements, as long as properly disclosed and non-deceptive, are not generally a consumer protection issue under current legal regimes.

From the AI Governance in Practice Report 2024 (Consumer Protection Section):

"Standard practices like targeted advertising and recommendations are widely accepted provided they comply with transparency and consent requirements." Meanwhile, credit decision-making and misleading AI performance claims (Answers A and B) have already led to regulatory enforcement.

The AIGP ILT Guide highlights:

"Deceptive claims, biased financial decisions, and unauthorized data use may violate consumer protection and privacy laws. Advertising personalization is routine but must be disclosed appropriately."

#### **NEW QUESTION #154**

Scenario:

A public sector agency is reviewing proposed AI use cases for improving services. It wants to prioritize implementations that deliver value but minimize unintended negative consequences.

When evaluating which AI use cases to implement, an organization should consider all of the following EXCEPT:

- A. Related TEVV (test, evaluate, verify, validate) and system metrics
- B. Potential positive and negative impacts of the system
- C. The users and their expectations
- D. Equitable access to the AI tool

#### Answer: A

#### Explanation:

The correct answer is A. While TEVV is important in later lifecycle phases, it is not the primary consideration when evaluating and prioritizing use cases.

From the AIGP Body of Knowledge - Use Case Assessment Module:

"Use case evaluation focuses on value, impact, fairness, and accessibility-technical testing considerations come later." ILT Guide confirms:

'Organizations should first assess whether the AI system provides equitable outcomes and aligns with stakeholder expectations. TEVV is part of implementation, not initial prioritization." Thus, A is not a top-level consideration during use case selection.

#### **NEW QUESTION #155**

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