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CIPS L4M4 Exam Syllabus Topics:

Topic	Details
Topic 1	Understand compliance issues when sourcing from suppliers: It explores legislative, regulatory, and organizational requirements for sourcing. It compares the use of audit and other mechanism of feedback.
Topic 2	Understand the key processes that can be applied to the analysis of potential external suppliers:
Topic 3	Understand options for sourcing requirements from suppliers: This section covers the sourcing process, approaches, options, and award criteria for obtaining requirements from suppliers. The sub-topics provide a foundation for strategic sourcing.
Topic 4	- This section examines analyzing market data, obtaining quotations - tenders, and assessing responses. The sub-topics focus on key processes for evaluating potential suppliers.

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CIPS Ethical and Responsible Sourcing Sample Questions (Q192-Q197):

NEW QUESTION # 192

What is a risk of pre-warning a supplier that you will conduct an audit of their facilities?

- A. they will not be able to make that time and date
- B. they will not perform regular duties that day
- C. they will not achieve KPIs
- D. they may coach staff in what to say

Answer: D

Explanation:

A risk of pre-planning an audit is the supplier has time to prepare for it. It's a good thing in that they can get their paperwork in order but the downside is they can overprepare so you don't get a realistic representation of what they're doing. One way they can do this is coaching staff in what to say to the auditors.

NEW QUESTION # 193

A 'carnet' provides evidence of the origin of goods shipped in an international trade transaction. Is this statement correct?

- A. Yes, it is only used when exporting goods from Europe and confirms the country of origin
- B. It is a widely used import document that confirms the origin of goods
- C. No, it is a document that allows the temporary free movement of goods
- D. No, it is a type of insurance cover to protect against loss of goods in transit

Answer: C

Explanation:

A carnet (specifically an ATA Carnet) is a customs document that allows for the temporary duty-free and tax-free movement of goods across international borders. It is commonly used for exhibitions, fairs, and professional equipment but is not proof of origin. Proof of origin is instead provided by a certificate of origin or other trade documents. It is also not an insurance policy. Responsible sourcing requires buyers to distinguish between documents used for temporary movement (carnet), origin evidence (certificate of origin), and risk transfer (insurance). Correct understanding avoids compliance failures, delays, or unexpected costs in international trade.

Reference: CIPS L4M4 Study Guide (v2), LO: "Application" - international sourcing documentation.

NEW QUESTION # 194

Ji Yuan is a procurement manager who is looking for a new supplier to complete reactive maintenance activities throughout his property portfolio. The reactive maintenance will include an array of plumbing tasks as well as fixing electrical items. Suppliers therefore need to be extremely skilled and knowledgeable about a variety of tasks. Ji Yuan has done some initial research and doesn't believe that there are many suppliers who can complete this type of contract. What type of tender should he use?

- A. restricted
- B. competitive dialogue
- C. open
- D. negotiated

Answer: C

Explanation:

Open tenders should be used when the buyer expects there to be little interest / fewer bids. The opportunity is advertised widely allowing any supplier that wishes to submit a bid the opportunity.

The other options limit the number of suppliers and Ji Yuan doesn't want to do that, because he risks getting no interest and no supplier.

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NEW QUESTION # 195

What are the risks of outsourcing?

- * Loss of control of a project
- * Loss of assets
- * Loss of future business opportunities
- * Loss of private information

- A. 2 and 3 only
- B. 1 and 2 only
- C. 1 and 4 only
- D. 3 and 4 only

Answer: C

Explanation:

Outsourcing can create risks such as loss of control over a project (1) since operations are managed externally, and loss of private information (4) if data security and confidentiality are not managed effectively. Loss of assets (2) typically refers to internal asset disposal, not outsourcing. Loss of future business opportunities (3) is more linked to strategic misalignment, not a core outsourcing risk. Responsible sourcing emphasises that outsourcing should include robust governance, contractual protections, and supplier monitoring to manage risks of data leakage, reduced oversight, and potential ethical breaches.

Reference: CIPS L4M4 Study Guide (v2), LO: "Implications" - outsourcing risks and ethical considerations.

NEW QUESTION # 196

Procurement professionals should never appoint any suppliers that have a low credit rating. Is this statement TRUE?

- A. Yes, because this rating indicates poor financial management practices
- B. Yes, because this rating means that insolvency or liquidation is imminent
- C. No, because a credit rating is not an appropriate decision-making tool
- D. No, because a credit rating is only one financial tool to be used in determining financial competence

Answer: D

Explanation:

A credit rating is one of many tools used to assess a supplier's financial health. Responsible sourcing requires a comprehensive assessment of suppliers, considering multiple factors, to ensure a balanced and ethical approach to supplier selection.

NEW QUESTION # 197

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