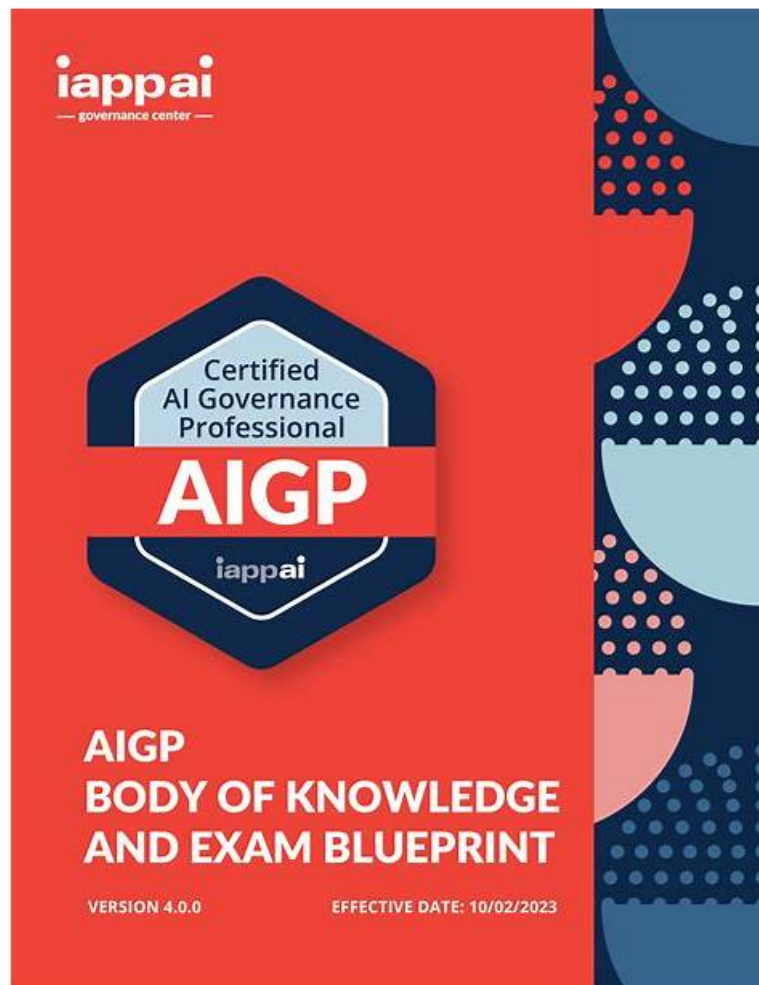


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## IAPP AIGP Exam Syllabus Topics:

| Topic   | Details                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|---------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Topic 1 | <ul style="list-style-type: none"><li>Understanding How Laws, Standards, and Frameworks Apply to AI: This section of the exam measures skills of compliance officers and covers the application of existing and emerging legal requirements to AI systems. It explores how data privacy laws, intellectual property, non-discrimination, consumer protection, and product liability laws impact AI. The domain also examines the main elements of the EU AI Act, such as risk classification and requirements for different AI risk levels, as well as enforcement mechanisms. Furthermore, it addresses the key industry standards and frameworks, including OECD principles, NIST AI Risk Management Framework, and ISO AI standards, guiding organizations in trustworthy and compliant AI implementation.</li></ul> |
| Topic 2 | <ul style="list-style-type: none"><li>Understanding the Foundations of AI Governance: This section of the exam measures skills of AI governance professionals and covers the core concepts of AI governance, including what AI is, why governance is needed, and the risks and unique characteristics associated with AI. It also addresses the establishment and communication of organizational expectations for AI governance, such as defining roles, fostering cross-functional collaboration, and delivering training on AI strategies. Additionally, it focuses on developing policies and procedures that ensure oversight and accountability throughout the AI lifecycle, including managing third-party risks and updating privacy and security practices.</li></ul>                                          |
| Topic 3 | <ul style="list-style-type: none"><li>Understanding How to Govern AI Development: This section of the exam measures the skills of AI project managers and covers the governance responsibilities involved in designing, building, training, testing, and maintaining AI models. It emphasizes defining the business context, performing impact assessments, applying relevant laws and best practices, and managing risks during model development. The domain also includes establishing data governance for training and testing, ensuring data quality and provenance, and documenting processes for compliance. Additionally, it focuses on preparing models for release, continuous monitoring, maintenance, incident management, and transparent disclosures to stakeholders.</li></ul>                           |
| Topic 4 | <ul style="list-style-type: none"><li>Understanding How to Govern AI Deployment and Use: This section of the exam measures skills of technology deployment leads and covers the responsibilities associated with selecting, deploying, and using AI models in a responsible manner. It includes evaluating key factors and risks before deployment, understanding different model types and deployment options, and ensuring ongoing monitoring and maintenance. The domain applies to both proprietary and third-party AI models, emphasizing the importance of transparency, ethical considerations, and continuous oversight throughout the model's operational life.</li></ul>                                                                                                                                      |

## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q17-Q22):

### NEW QUESTION # 17

In procuring an AI system from a vendor, which of the following would be important to include in a contract to enable proper oversight and auditing of the system?

- A. Ownership of data and outputs.
- B. Responsibility for improvements.
- **C. Appropriate access to data and models.**
- D. Liability for mistakes.

**Answer: C**

Explanation:

Ensuring oversight and auditability requires that the organization has sufficient access to data, documentation, and model internal or outputs necessary for evaluation.

From the AI Governance in Practice Report 2024:

"Access to technical documentation and system internals is essential to enable effective auditing, conformity checks, and accountability mechanisms." (p. 11, 34)

- \* Ais about liability, not auditability.
- \* Bmatters for IP rights, not oversight.
- \* Crelates to lifecycle responsibility but doesn't guarantee audit access.

### NEW QUESTION # 18

An artist has been using an AI tool to create digital art and would like to ensure that it has copyright protection in the United States. Which of the following is most likely to enable the artist to receive copyright protection?

- A. Ensure the tool was trained using publicly available content.
- B. Obtain a representation from the AI provider on how the tool works.
- C. Update the images in a creative way to demonstrate that it is the artist's.
- D. Provide a log of the prompts the artist used to generate the images.

**Answer: C**

Explanation:

For the artist to receive copyright protection, the most effective approach is to demonstrate that the final artwork includes sufficient creative input by the artist. By updating or altering the images in a way that reflects the artist's personal creativity, the artist can claim originality, which is a core requirement for copyright protection under U.S. law. The other options do not directly address the originality and creative input required for copyright. This is highlighted in the sections on copyright protection in the IAPP AIGP Body of Knowledge.

### NEW QUESTION # 19

#### CASE STUDY

Please use the following answer the next question:

XYZ Corp., a premier payroll services company that employs thousands of people globally, is embarking on a new hiring campaign and wants to implement policies and procedures to identify and retain the best talent.

The new talent will help the company's product team expand its payroll offerings to companies in the healthcare and transportation sectors, including in Asia.

It has become time consuming and expensive for HR to review all resumes, and they are concerned that human reviewers might be susceptible to bias.

Address these concerns, the company is considering using a third-party AI tool to screen resumes and assist with hiring. They have been talking to several vendors about possibly obtaining a third-party AI-enabled hiring solution, as long as it would achieve its goals and comply with all applicable laws.

The organization has a large procurement team that is responsible for the contracting of technology solutions.

One of the procurement team's goals is to reduce costs, and it often prefers lower-cost solutions. Others within the company are responsible for integrating and deploying technology solutions into the organization's operations in a responsible, cost-effective manner.

The organization is aware of the risks presented by AI hiring tools and wants to mitigate them. It also questions how best to organize and train its existing personnel to use the AI hiring tool responsibly. Their concerns are heightened by the fact that relevant laws vary across jurisdictions and continue to change.

Which other stakeholder groups should be involved in the selection and implementation of the AI hiring tool?

- A. Supply Chain and Marketing.
- B. Marketing and Compliance.
- C. Finance and Legal.
- D. Litigation and Product Development.

**Answer: C**

Explanation:

In the selection and implementation of the AI hiring tool, involving Finance and Legal is crucial. The Finance team is essential for assessing cost implications, budget considerations, and financial risks. The Legal team is necessary to ensure compliance with applicable laws and regulations, including those related to data privacy, employment, and anti-discrimination. Involving these stakeholders ensures a comprehensive evaluation of both the financial viability and legal compliance of the AI tool, mitigating potential risks and aligning with organizational objectives and regulatory requirements.

### NEW QUESTION # 20

Under the NIST AI Risk Management Framework, all of the following are defined as characteristics of trustworthy AI EXCEPT?

- A. Accountable and Transparent.
- B. Secure and Resilient.
- C. Explainable and Interpretable.
- **D. Tested and Effective.**

**Answer: D**

Explanation:

The NIST AI Risk Management Framework outlines several characteristics of trustworthy AI, including being secure and resilient, explainable and interpretable, and accountable and transparent. While being tested and effective is important, it is not explicitly listed as a characteristic of trustworthy AI in the NIST framework.

The focus is more on the system's ability to function safely, securely, and transparently in a way that stakeholders can understand and trust. Reference: AIGP Body of Knowledge, NIST AI RMF section.

### NEW QUESTION # 21

After initially deploying a third-party AI model, you learn the developer has released a new version. As deployer of this third-party model, what should you do?

- **A. Audit the model.**
- B. Communicate necessary updates to your users.
- C. Retrain the model.
- D. Seek input from data scientists.

**Answer: A**

Explanation:

When a new version of a third-party model is released, the deployer must ensure it still meets safety, performance, and compliance requirements - which calls for a formal audit.

From the AI Governance in Practice Report 2024:

"Any updates or changes to AI systems should trigger a re-evaluation to ensure continued compliance and performance." (p. 12)

"Post-market monitoring includes reassessing the impact of updated models or retraining." (p. 35)

### NEW QUESTION # 22

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