# ISO-45001-Lead-Auditor Reliable Test Blueprint | New ISO-45001-Lead-Auditor Test Tutorial



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# **PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:**

Topic	Details
Topic 1	Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.
Topic 2	<ul> <li>Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.</li> </ul>
Topic 3	Managing an ISO 45001 audit program: This section of the exam measures the skills of Audit Managers and covers the management of an ongoing ISO 45001 audit program. It focuses on scheduling audits, maintaining auditor competency, and ensuring continuous improvement within the auditing process. One skill to be measured is implementing strategies for effective audit program management.
Topic 4	Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.
Topic 5	ISO 45001 requirements for an OH&S MS — Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 6	Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.

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# PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q25-Q30):

# **NEW QUESTION #25**

Wokrer's participations is a key factor for the success of OHSMS. Which three of the following ISO 45001 activities require workers' participation?

- A. Establishing OHS objectives
- B. Determining what needs to be communciated
- C. Determining actions to eliminate hazards
- D. Determining competence requirements
- E. Establishing OHS policy
- F. Managing internal audit programme

# Answer: A,C,E

### Explanation:

Worker participation is a fundamental principle of ISO 45001 (Clause 5.4). It ensures workers at all levels are involved in key activities to enhance the OH&S management system's effectiveness.

Analysis of Options:

- \* A. Determining competence requirements:Incorrect. Determining competence is primarily the responsibility of management, though workers may provide input.
- \* B. Establishing OHS objectives:Correct. Worker involvement ensures objectives are realistic and relevant to workplace conditions (Clause 6.2).
- \* C. Managing internal audit programme:Incorrect. Managing audits is typically a managerial responsibility, though workers may participate in audits.
- \* D. Determining actions to eliminate hazards:Correct. Workers' insights are critical in identifying and implementing actions to eliminate hazards (Clause 8.1.2).
- \* E. Determining what needs to be communicated:Incorrect. While communication strategies impact workers, their participation in determining communication needs is not explicitly required.
- \* F. Establishing OHS policy:Correct. Workers should be involved in developing policies to ensure relevance and buy-in (Clause 5.2).

### ISO References:

- \* Clause 5.4: Worker participation.
- \* Clause 6.2: OHS objectives.
- \* Clause 8.1.2: Hierarchy of controls and hazard elimination.

#### **NEW QUESTION #26**

According to ISO 45001, who should participate in the continuous improvement of the health and safety management system?

- A. Everyone, at all levels of the company
- B. Top management, senior officers and designated safety representatives only
- C. Top management only
- D. Top management and senior officers only

# Answer: A

# Explanation:

ISO 45001 emphasizes worker participation and engagement at all levels of the organization to support continuous improvement (Clause 5.4 and Clause 10.3). Continuous improvement requires input from everyone, including workers who identify hazards, report incidents, and contribute to safety enhancements.

Analysis of Options:

A. Everyone, at all levels of the company:

Correct. Clause 5.4 explicitly includes all levels of the organization in consultation and participation, fostering continuous improvement.

B. Top management and senior officers only:

Incorrect. While top management plays a crucial role in leadership, ISO 45001 extends the responsibility for participation to all levels.

C. Top management, senior officers, and designated safety representatives only:

Incorrect. Limiting participation to designated representatives excludes a significant portion of the workforce from the improvement process.

D. Top management only:

Incorrect. While top management must lead and commit to continuous improvement, their involvement alone is insufficient under ISO 45001.

ISO Reference:

Clause 5.4: Worker consultation and participation.

Clause 10.3: Continual improvement.

# **NEW QUESTION #27**

The following options show key actions involved in a third-party audit. They are not in order. Order the stages in the table to show the sequence in which the actions should take place.

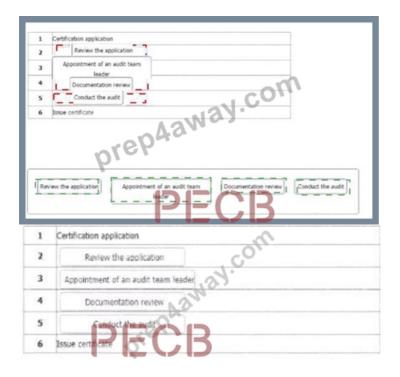
To complete the sequence click on the blank section you want to complete so it is highlighted in red and then click on the applicable stage from the options below. Alternatively, drag and drop the options to the appropriate blank section.

These stages take place after a certification application.



Answer:

Explanation:



#### **NEW QUESTION #28**

Select two options that are corrections.

- A. Using OHSMS induction training to address an identified lack OHSMS awareness among winkers.
- B. Changing a process to reduce its inherent risk.
- C. Updating the emergency preparedness plan as a result of carrying out a practical test.
- D. Reviewing workers training records.
- E. Changing the name of a tutor that did not deliver a course to the name of the tutor that did.
- F. Adding a missing signature to a corrective action record.

## Answer: E,F

# Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Corrections address immediate issues, such as errors or omissions, without addressing root causes. Clause 10.2 of ISO 45001:2018 allows for corrections alongside corrective actions.

#### Analysis of Options:

A . Adding a missing signature to a corrective action record:

Correct. This is a correction addressing an administrative oversight.

B. Changing a process to reduce its inherent risk:

Incorrect. This is a corrective action aimed at addressing root causes, not a correction.

C. Changing the name of a tutor that did not deliver a course to the name of the tutor that did:

Correct. This corrects an error in records without addressing systemic issues.

D . Reviewing workers' training records:

Incorrect. This is part of ongoing monitoring or auditing, not a correction.

E. Updating the emergency preparedness plan as a result of carrying out a practical test:

Incorrect. This is a corrective action resulting from performance evaluation, not a correction.

F. Using OHSMS induction training to address an identified lack of OHSMS awareness among workers:

Incorrect. This is a preventive or corrective action, not a correction.

ISO Reference:

Clause 10.2: Nonconformity and corrective action.

Clause 7.5: Control of documented information

# **NEW QUESTION # 29**

An adventure park has the following and safety policy document displayed in the reception area:

This organization is committed to providing safe experiences, In accordance with health and safety regulations for their delivery. The

organisation will strive to increase the health and safety awareness of its personal, contracts and customers. Continual health and safety improvement is a permanent objective of the organisation. This policy shall be communicated to all interested parties. Referring to the policy statement, select three options for which the organization is meeting ISO 45001 requirements.

- A. The organization intends to communicate its policy to external parties.
- B. The organisation's processes deliver the Intended Improvement to health and safety.
- C. The management is committed to health and safety improvement.
- D. The organisation meets oil statutory requirements.
- E. The organisation uses contractors committed to health and safety.
- F. The management Is committed to health and safety Improvement.
- G. The organisation is committed to continual health and safety improvement.
- H. The organisation satisfies Its customers' health and safety requirement.
- I. The organization has a good reputation for safe experiences.

# Answer: A,F,G

#### Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit Reference Clause 5.2 of ISO 45001:2018 outlines requirements for the OH&S policy, emphasizing commitment to continual improvement, compliance with legal requirements, and communication of the policy to interested parties.

Analysis of Options:

A. The management is committed to health and safety improvement:

Correct. The policy explicitly states the organization's commitment to improvement, meeting Clause 5.2 requirements.

C. The organization has a good reputation for safe experiences:

Not relevant to ISO 45001 requirements; reputation is not a policy criterion.

D. The organization intends to communicate its policy to external parties:

Correct. Clause 5.2 requires the policy to be communicated to interested parties.

E. The organization is committed to continual health and safety improvement:

Correct. This directly aligns with Clause 5.2, which mandates continual improvement.

F. The organization meets all statutory requirements:

The policy does not explicitly state compliance with legal requirements, even though this is implied.

G. The organization satisfies its customers' health and safety requirements:

The policy does not specifically address customer requirements.

 $\boldsymbol{H}$  . The organization uses contractors committed to health and safety:

Not stated in the policy.

I . The organization's processes deliver the intended improvement to health and safety:

This is not evident from the policy statement.

ISO Reference:

Clause 5.2: OH&S policy.

# **NEW QUESTION #30**

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