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CIPS Managing Ethical Procurement and Supply (L5M5) Sample Questions (Q48-Q53):

NEW QUESTION # 48

In 1999, the UN launched the Global Compact, a principle-based framework for sustainable business. Which of the following areas are covered by the Global Compact? Select THREE

- A. Minimum wage
- B. Human rights
- C. Anti-corruption
- D. Environment
- E. Racism

Answer: B,C,D

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The UN Global Compact is based on ten principles derived from UN declarations. According to the L5M5 study guide (p.249), the areas covered are: human rights (A), labour standards, environment (B), and anti-corruption (C). "Racism" (D) is not listed specifically; instead, the Compact addresses non-discrimination as part of labour rights. "Minimum wage" (E) is not a universal standard in the Compact, though labour standards cover fair conditions of work. Procurement professionals should align supply strategies with these principles to support global sustainability and ethical practice.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.249

NEW QUESTION # 49

Which of the following are principles of the Wine and Agricultural Ethical Trade Association (WIETA)? Select THREE

- A. Regular employment to be provided
- B. Automatic sick pay
- C. A working environment free from risk
- D. Working hours shall not be excessive
- E. Prohibition of forced labour

Answer: A,D,E

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The Wine and Agricultural Ethical Trade Association (WIETA) is an organisation promoting fair trade and ethical practices in South Africa's wine industry. The L5M5 study guide (p.262) outlines WIETA's principles, which align with ILO standards. These include prohibition of forced labour, ensuring working hours are not excessive, and providing regular employment rather than temporary or exploitative contracts. Options D and E are not accurate-no workplace can be entirely free of risk, and sick pay is determined by national legislation, not WIETA. Procurement professionals working with agricultural sectors should be aware of such sector-specific ethical trade associations.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.262

NEW QUESTION # 50

Charlie is 13 years old and sometimes helps his parents in their corner shop on weekends, while attending school during the week. Is this an example of child labour?

- A. Yes - Charlie should report his parents to the police
- B. No - helping in a family business does not count as child labour
- C. No - Charlie is old enough not to be a child
- D. Yes - any work by under 16s is child labour

Answer: B

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

The ILO defines child labour as work that deprives children of their childhood, education, or well-being. According to the L5M5 study guide (p.252), light work, such as assisting in a family business outside school hours, is not considered child labour if it does not interfere with education or harm physical and mental health. Charlie is attending school, completing homework, and only helping part-time in a family-owned shop. Therefore, this is not classified as child labour. The ILO guidelines make clear distinctions between exploitative labour (such as sweatshops or hazardous industries) and permissible family assistance.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.252

NEW QUESTION # 51

BrightWave Ltd, a publicly listed company, has recently expanded into international markets. To maintain regulatory compliance and stakeholder confidence, it relies on its audit committee. This committee oversees critical areas, including governance, risk management, and financial reporting.

Which TWO of the following responsibilities are typically within the audit committee's scope?

1. Reviewing and approving the company's strategic growth plans.
2. Monitoring the integrity of the financial statements and reporting process.
3. Appointing and evaluating the performance of the external auditors.
4. Managing the day-to-day operations of the finance department.
5. Ensuring the implementation of effective internal control systems

- A. 1 & 3
- B. 3 & 5
- C. 2 & 3
- D. 2 & 4

Answer: C

Explanation:

Option 2 - Monitoring the integrity of financial statements and reporting processes is a core responsibility of an audit committee, ensuring accuracy, transparency, and compliance with regulations.

Option 3- Appointing and evaluating the performance of external auditors falls within the audit committee's duties to ensure independence and effectiveness in audits.

Incorrect answer:

Option 1 & 4 - Strategic growth plans and managing day-to-day operations are the responsibilities of senior management and the board, not the audit committee.

Option 5- Internal control systems oversight can involve the audit committee, but implementation typically lies with management.

Reference:

LO-2.3; Page 215; Assessing the competencies of third-parties for the provision of ethical and ESG audit services

NEW QUESTION # 52

The unethical practice of using information that is not publicly available to trade on the stock market is commonly known as what?

- A. Greasing the wheels
- B. Insider trading
- C. Bribery
- D. Kickback

Answer: B

Explanation:

Comprehensive and Detailed Explanation From Exact Extract of Documents:

Insider trading occurs when individuals use confidential or non-public information to gain an unfair advantage in stock market trading. The L5M5 study guide (p.3) identifies insider trading as an example of unethical business behaviour. It is illegal in most jurisdictions because it undermines market fairness and investor confidence. Bribery (A) and kickbacks (B) involve corrupt practices in procurement, while "greasing the wheels" (D) refers to facilitation payments. Procurement professionals must avoid insider trading directly and indirectly (e.g., suppliers disclosing confidential financial information) to maintain ethical compliance and governance.

Reference: Managing Ethical Procurement and Supply (L5M5) Study Guide, p.3

NEW QUESTION # 53

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