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## IAPP Certified Artificial Intelligence Governance Professional Sample Questions (Q37-Q42):

### NEW QUESTION # 37

What is the primary purpose of conducting ethical red-teaming on an AI system?

- A. To identify security vulnerabilities.
- B. To ensure compliance with applicable law.
- **C. To simulate model risk scenarios.**
- D. To improve the model's accuracy.

**Answer: C**

Explanation:

The primary purpose of conducting ethical red-teaming on an AI system is to simulate model risk scenarios.

Ethical red-teaming involves rigorously testing the AI system to identify potential weaknesses, biases, and vulnerabilities by simulating real-world attack or failure scenarios. This helps in proactively addressing issues that could compromise the system's reliability, fairness, and security. Reference: AIGP Body of Knowledge on AI Risk Management and Ethical AI Practices.

## NEW QUESTION # 38

### CASE STUDY

Please use the following answer the next question:

A local police department in the United States procured an AI system to monitor and analyze social media feeds, online marketplaces and other sources of public information to detect evidence of illegal activities (e.g., sale of drugs or stolen goods). The AI system works by surveilling the public sites in order to identify individuals that are likely to have committed a crime. It cross-references the individuals against data maintained by law enforcement and then assigns a percentage score of the likelihood of criminal activity based on certain factors like previous criminal history, location, time, race and gender.

The police department retained a third-party consultant assist in the procurement process, specifically to evaluate two finalists. Each of the vendors provided information about their system's accuracy rates, the diversity of their training data and how their system works. The consultant determined that the first vendor's system has a higher accuracy rate and based on this information, recommended this vendor to the police department.

The police department chose the first vendor and implemented its AI system. As part of the implementation, the department and consultant created a usage policy for the system, which includes training police officers on how the system works and how to incorporate it into their investigation process.

The police department has now been using the AI system for a year. An internal review has found that every time the system scored a likelihood of criminal activity at or above 90%, the police investigation subsequently confirmed that the individual had, in fact, committed a crime. Based on these results, the police department wants to forego investigations for cases where the AI system gives a score of at least 90% and proceed directly with an arrest.

What is the best reason the police department should continue to perform investigations even if the AI system scores an individual's likelihood of criminal activity at or above 90%?

- **A. Because AI systems that affect fundamental civil rights should not be fully automated.**
- B. Because the department did not perform an impact assessment for this intended use.
- C. Because investigations may identify additional individuals involved in the crime.
- D. Because investigations may uncover information relevant to sentencing.

**Answer: A**

Explanation:

The best reason for the police department to continue performing investigations even if the AI system scores an individual's likelihood of criminal activity at or above 90% is that AI systems affecting fundamental civil rights should not be fully automated. Human oversight is essential to ensure that decisions impacting civil liberties are made with due consideration of context and mitigating factors that an AI might not fully appreciate. This approach ensures fairness, accountability, and adherence to legal standards.

Reference: AIGP Body of Knowledge on AI Ethics and Human Oversight.

## NEW QUESTION # 39

The processes and methods that allow human users to understand and trust the outputs produced by AI are important in addressing which key regulatory concern?

- A. Responsible AI
- B. Trustworthy AI
- **C. Explainable AI**
- D. Interpretable AI

**Answer: C**

Explanation:

The correct answer is Explainable AI because it specifically refers to the ability of a system to describe the logic behind its decisions or outputs in a way that is understandable to humans. This is a key part of regulatory and ethical frameworks and is directly related to addressing the black-box problem in AI.

From the AIGP ILT Participant Guide (Module on Transparency and Explainability):

"Explainability refers to the understanding of how a black-box model works. The black-box problem exists because some models are too complex for human interpretation. Explainability methods aim to provide meaningful insight into the logic and decision-making of AI systems." Also, according to the AI Governance in Practice Report 2024:

"Explainability refers to the representation of the underlying mechanisms of the AI system's operation... a key tenet of AI governance due to the desire to understand how AI systems are built, managed and maintained." Thus, while Trustworthy and Responsible AI are broader concepts, explainability specifically targets the regulatory concern about understanding outputs.

#### NEW QUESTION # 40

Training data is best defined as a subset of data that is used to?

- A. Resemble the structure and statistical properties of production data.
- B. Detect the initial sources of biases to mitigate prior to deployment.
- C. Fine-tune a model to improve accuracy and prevent overfitting.
- **D. Enable a model to detect and learn patterns.**

**Answer: D**

Explanation:

Training data is used to enable a model to detect and learn patterns. During the training phase, the model learns from the labeled data, identifying patterns and relationships that it will later use to make predictions on new, unseen data. This process is fundamental in building an AI model's capability to perform tasks accurately. Reference: AIGP Body of Knowledge on Model Training and Pattern Recognition.

#### NEW QUESTION # 41

Scenario:

A distributor operating in the EU is responsible for selling imported high-risk AI systems to businesses. The distributor wants to ensure they fulfill all applicable obligations under the EU AI Act.

All of the following are obligations of a distributor of high-risk AI systems under the EU AI Act EXCEPT?

- A. Verification of CE marking
- B. Communication with national authorities
- **C. Registration in EU Database**
- D. Corrective actions

**Answer: C**

Explanation:

The correct answer is C. Registration in the EU database is an obligation of providers of high-risk AI systems-not distributors.

From the AIGP ILT Guide - Roles & Obligations Module:

"Distributors must verify CE marking, ensure instructions for use are provided, inform authorities of risks, and take corrective action when necessary. However, registration duties in the EU database lie with the provider." Also from the AI Governance in Practice Report 2024:

"The AI Act differentiates responsibilities for developers, providers, importers, and distributors. Only providers of high-risk systems are obligated to register their systems in the EU AI Database." Distributors focus on verification and communication, not formal registration.

#### NEW QUESTION # 42

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