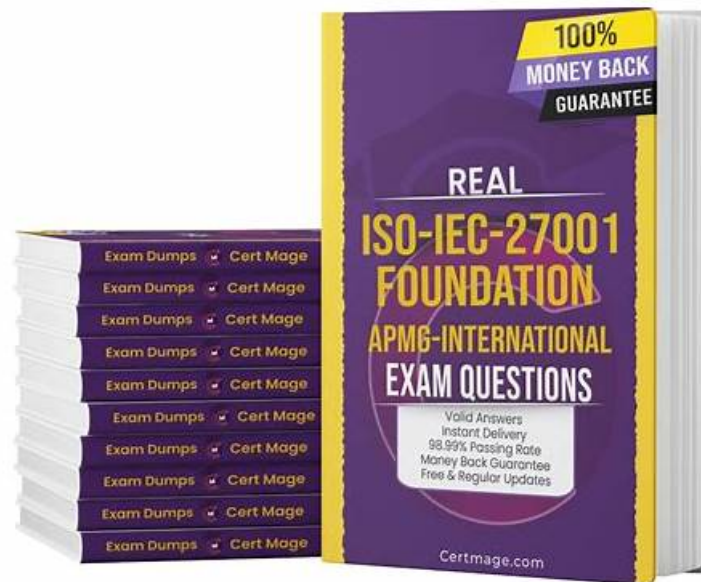


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APMG-International ISO-IEC-27001-Foundation Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none">• Risk Management: Risk management is the systematic process of identifying, evaluating, and implementing strategies to reduce or control the impact of potential uncertainties on organizational goals.
Topic 2	<ul style="list-style-type: none">• Data Security: Data security refers to protecting digital information—such as that stored in databases or networks—from destruction, unauthorized access, or malicious attacks, ensuring confidentiality and integrity.
Topic 3	<ul style="list-style-type: none">• Self Confidence: Self-confidence is the belief in one’s abilities, competence, and value, reflecting a sense of assurance and inner strength.
Topic 4	<ul style="list-style-type: none">• Security Breaches: Security breaches occur when unauthorized access or violations of security protocols are detected or imminent, potentially compromising data or system integrity.
Topic 5	<ul style="list-style-type: none">• Framework Design: Framework design is the process of developing a reusable structural foundation that supports and guides the creation and organization of software systems.

Topic 6	<ul style="list-style-type: none"> • Compliance: Regulatory compliance refers to an organization's commitment to understanding and adhering to applicable laws, policies, and regulations to operate within established legal and ethical standards.
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APMG-International ISO/IEC 27001 (2022) Foundation Exam Sample Questions (Q17-Q22):

NEW QUESTION # 17

In an audit, what is the definition of an observation?

- A. A non-fulfilment of a requirement of ISO/IEC 27001
- **B. A conformity to the standard where there is an opportunity for improvement**
- C. An issue raised by an interested party
- D. An issue excluded from the scope of the standard

Answer: B

Explanation:

ISO/IEC 27001 mandates internal audits (Clause 9.2) and continual improvement (Clause 10.1) but does not define the specific audit term "observation." However, the audit framework in 9.2 requires an audit programme and impartial auditors, and management review inputs include "feedback on the information security performance including trends in... audit results" and "opportunities for continual improvement."

"The companion implementation guidance (ISO/IEC 27002) reinforces the concept of opportunities for improvement in the review of policies: "The reviews should include assessing opportunities for improvement and the need for changes to the approach to information security..." In practical ISO audit usage (aligned with ISO 19011 guidance referenced in the Study Guide), an observation is a recorded conformity where improvement is advisable—commonly termed an Opportunity for Improvement (OFI). The Study Guide's internal audit section emphasizes running an audit programme to identify "potential areas of weakness or non-compliance," supporting the notion of recording improvement opportunities alongside nonconformities. Therefore, within ISO/IEC 27001 audit practice, the best-fit definition is B: a conformity where there is an opportunity for improvement.

NEW QUESTION # 18

Which factor is required to be determined when understanding the organization and its context?

- **A. Internal issues affecting the purpose of the ISMS**
- B. The processes that will be required to operate the ISMS
- C. The ISO/IEC 27001 clauses which apply to the management system
- D. The information security objectives relevant to the ISMS

Answer: A

Explanation:

Clause 4.1 specifies exactly what must be determined when establishing context: "The organization shall determine external and internal issues that are relevant to its purpose and that affect its ability to achieve the intended outcome(s) of its information security management system." This requirement is about understanding internal and external issues (e.g., culture, capabilities, regulatory environment) that influence the ISMS's effectiveness. Objectives (option B) are addressed later in Clause 6.2; processes (option C) are addressed in Clause 4.4 and operational planning; and "which clauses apply" (option D) is not a determination step—ISO/IEC 27001's requirements in Clauses 4–10 are not optional. Therefore, the direct, required factor per 4.1 is determining internal (and

external) issues relevant to the organization's purpose and ISMS outcomes.

NEW QUESTION # 19

Identify the missing word(s) in the following control relating to the Policies for information security control.

"Information security policy and topic-specific policies should be defined, approved by management, [?] and acknowledged by relevant personnel and relevant interested parties, and reviewed at planned intervals and if significant changes occur."

- A. established and maintained
- B. published
- C. published, communicated to
- D. communicated to

Answer: C

Explanation:

Comprehensive and Detailed Explanation From Exact Extract ISO/IEC 27002:2022 standards:

Annex A.5.1 (Policies for information security) states:

"Information security policy and topic-specific policies should be defined, approved by management, published, communicated to and acknowledged by relevant personnel and relevant interested parties, and reviewed at planned intervals and if significant changes occur." This confirms that the missing words are "published, communicated to." The control emphasizes not just defining and approving policies but ensuring they are actively distributed and communicated so that relevant stakeholders are aware of and acknowledge them. Options A, B, and D are partial but incomplete.

Thus, the correct answer is C.

NEW QUESTION # 20

Which statement about the conduct of audits is true?

- A. During Stage 1 of a certification audit, evidence is collected by observing activities
- B. The certificate issued after a successful re-certification audit in typical schemes lasts for one year
- C. One of the focus areas for a surveillance audit is the output from internal audits and management reviews
- D. Third party audits are conducted by a customer of the organization

Answer: C

Explanation:

Clause 9.2 (Internal Audit) and Clause 9.3 (Management Review) highlight that audit outputs and management reviews are key inputs for evaluating ISMS performance. Surveillance audits, conducted by Certification Bodies, check ongoing compliance and effectiveness. ISO certification schemes (per ISO/IEC

17021) require surveillance audits to verify whether corrective actions and continuous improvements are being made. A critical focus area is the results of internal audits and management reviews, ensuring that the organization maintains its ISMS between certification cycles.

Option A is incorrect - third-party audits are performed by independent Certification Bodies, not customers.

Option B is incorrect - certificates are typically valid for three years with annual surveillance. Option D is incorrect - Stage 1 is primarily a documentation and readiness review, not evidence observation.

Therefore, the verified correct answer is C.

NEW QUESTION # 21

Which information is required to be included in the Statement of Applicability?

- A. The scope and boundaries of the ISMS
- B. The justification for including each information security control
- C. The risk assessment approach of the organization
- D. The criteria against which risk will be evaluated

Answer: B

Explanation:

Clause 6.1.3 (d) requires that the organization "produce a Statement of Applicability that contains the necessary controls (see Annex

A), and justification for inclusions, whether they are implemented or not, and the justification for exclusions." This is the defining requirement of the SoA: it documents which Annex A controls are relevant, which are implemented, and the justification for inclusion/exclusion. While the ISMS scope (A) is documented in Clause 4.3, and risk evaluation criteria (C) are defined in Clause 6.1.2, these do not belong in the SoA. The SoA does not describe the full risk assessment approach (B); that is part of the risk assessment methodology.

Therefore, the mandatory requirement for the SoA is justification for including (or excluding) each information security control.

NEW QUESTION # 22

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