

PECB ISO-45001-Lead-Auditor Certified, Valid ISO-45001-Lead-Auditor Exam Topics

Credential	Exam	Professional experience	MS audit/assessment experience	Other requirements
PECB Certified ISO 45001 Provisional Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	None	None	Signing the PECB Code of Ethics
PECB Certified ISO 45001 Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	Two years: One year of work experience in OH&S management	Audit activities: a total of 200 hours	Signing the PECB Code of Ethics
PECB Certified ISO 45001 Lead Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	Five years: Two years of work experience in OH&S management	Audit activities: a total of 300 hours	Signing the PECB Code of Ethics
PECB Certified ISO 45001 Senior Lead Auditor	PECB Certified ISO 45001 Lead Auditor Exam or equivalent	Ten years: Seven years of work experience in OH&S management	Audit activities: a total of 1,000 hours	Signing the PECB Code of Ethics

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q39-Q44):

NEW QUESTION # 39

Worker participation is a key factor for the success of OHSMS. Which three of the following ISO 45001 activities require workers' participation?

- A. Determining competence requirements
- B. Establishing OHS objectives
- C. Establishing OHS policy
- D. Determining actions to eliminate hazards
- E. Managing internal audit programme
- F. Determining what needs to be communicated

Answer: B,C,D

Explanation:

Worker participation is a fundamental principle of ISO 45001 (Clause 5.4). It ensures workers at all levels are involved in key activities to enhance the OH&S management system's effectiveness.

Analysis of Options:

A . Determining competence requirements:

Incorrect. Determining competence is primarily the responsibility of management, though workers may provide input.

B . Establishing OHS objectives:

Correct. Worker involvement ensures objectives are realistic and relevant to workplace conditions (Clause 6.2).

C . Managing internal audit programme:

Incorrect. Managing audits is typically a managerial responsibility, though workers may participate in audits.

D . Determining actions to eliminate hazards:

Correct. Workers' insights are critical in identifying and implementing actions to eliminate hazards (Clause 8.1.2).

E . Determining what needs to be communicated:

Incorrect. While communication strategies impact workers, their participation in determining communication needs is not explicitly required.

F . Establishing OHS policy:

Correct. Workers should be involved in developing policies to ensure relevance and buy-in (Clause 5.2).

ISO Reference:

Clause 5.4: Worker participation.

Clause 6.2: OHS objectives.

Clause 8.1.2: Hierarchy of controls and hazard elimination.

NEW QUESTION # 40

During a second-party audit at a sawmill, you find that the OHSMS Manager conducted the hazard identification process. In the risk assessment report, hazards were listed as physical hazards, chemical hazards, biological hazards, and psychosocial hazards, with the hazards categorized as low, medium, and high risk to be addressed. The OHSMS Manager did not have an action plan for low and medium risks, saying that they ignored those. He stated that they brought in a health and safety consultant to advise on treatment for the high risks.

When interviewing the OHSMS Manager, the auditor presents a nonconformity relating to potential hazards in the factory if the dust extraction system broke down. The OHSMS Manager said that, if that happened, the agreed planned action is that workers would put masks on.

Select one of the options for how the auditor should respond to this statement.

- A. Suggest that they should increase their maintenance checks.
- B. Advise that the factory should cease its operations if the dust extraction equipment malfunctioned.
- C. Ask the OHSMS Manager to confirm that this action meets regulatory requirements for air purity.
- D. Seek evidence that the response action is both implemented and effective.

Answer: D

NEW QUESTION # 41

You are an audit team leader conducting a Stage 2 audit of a Financial Services Provider. You are currently interviewing the organisation's Risk and Compliance Officer, who appears very competent when it comes to the management of business risks. As the Risk and Compliance Officer, she is also identified as having lead responsibility for the management of OH&S risk, and you are now seeking evidence that she understands the requirements relating to risk that are contained within ISO 45001. During your conversation, she makes the following six statements about risk within an ISO 45001-based OHSMS. Which four of his statements

are correct?

- A. The organisation is required to assess risks arising from OH&S hazards.
- B. Risk assessment is an activity that must be carried out by top management.
- C. Risk is often expressed as a combination of likelihood and impact.
- D. Although organisations are required to carry out risk management, the method by which they do so is up to them.
- E. The organisation must attempt to identify and address every risk it faces.
- F. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones.

Answer: A,C,D,F

Explanation:

Analysis of Options:

* A. The organisation must attempt to identify and address every risk it faces:Incorrect. ISO 45001 focuses on OH&S risks and not every risk faced by the organization.

* B. The effect of uncertainty (i.e. risk) can result in positive outcomes as well as negative ones:

Correct. Clause 3.20 defines risk as the "effect of uncertainty," which may result in positive or negative outcomes.

* C. Although organisations are required to carry out risk management, the method by which they do so is up to them:Correct. ISO 45001 does not prescribe specific risk management methods, leaving the organization to choose the approach that best suits its context (Clause 6.1.2).

* D. Risk assessment is an activity that must be carried out by top management:Incorrect. Risk assessment can involve workers and other personnel; it is not limited to top management.

* E. The organisation is required to assess risks arising from OH&S hazards:Correct. Clause 6.1.2.2 requires organizations to assess OH&S risks associated with hazards.

* F. Risk is often expressed as a combination of likelihood and impact:Correct. This is a common way to express risk, aligned with Clause 6.1.2.

ISO References:

* Clause 3.20: Definition of risk.

* Clause 6.1.2: Hazard identification and risk assessment

NEW QUESTION # 42

You are an audit team leader overseeing the activity of a trainee auditor. Your team has just completed a surveillance audit and is assembling the final report.

The trainee has not contributed to a final report before and is clearly struggling with what she should provide to you for inclusion. Which three of her following statements would be appropriate?

- A. The guide was trying to be helpful and would not stop talking during the audit.
- B. The Production Manager is kicky to have a job as he does not appear to understand how anything works around here.
- C. The maintenance team could not replace a lightbulb, let alone an air conditioning unit.
- D. The OHS Management team were extremely helpful and went out of their way to facilitate access to required records.
- E. The OHS Manager disagreed with me In respect of all of the nonconformances I raised. He still does not accept any of them.
- F. The audit client's objectives for this audit were never realistic. That's why we were unable to complete the audit plan.
- G. The Sales Manager said he had forgotten the password to the Sales Ordering system and so too had everyone else In his department
- H. The Sales Manager was kind and seems to be a nice person.

Answer: D,F,G

Explanation:

Audit reports should focus on objective evidence, observations, and findings relevant to the management system and the audit process. Personal opinions, unrelated remarks, or derogatory comments are inappropriate.

Analysis of Statements:

* A. The guide was trying to be helpful and would not stop talking during the audit:Incorrect. This is irrelevant to the audit findings or conclusions.

* B. The Sales Manager was kind and seems to be a nice person:Incorrect. Personal opinions about individuals are not appropriate in audit reports.

* C. The audit client's objectives for this audit were never realistic. That's why we were unable to complete the audit plan:Correct. This is relevant as it relates to the audit process and highlights challenges in meeting objectives.

* D. The maintenance team could not replace a lightbulb, let alone an air conditioning unit:

Incorrect. This is derogatory and irrelevant to the audit report.

* E. The OHS Management team were extremely helpful and went out of their way to facilitate access to required records:Correct. This is a valid observation about cooperation during the audit process.

* F. The OHS Manager disagreed with me in respect of all of the nonconformances I raised. He still does not accept any of them:Incorrect. While disagreements may occur, this statement is overly subjective and not constructive.

* G. The Production Manager is lucky to have a job as he does not appear to understand how anything works around here:Incorrect. Personal attacks or irrelevant judgments have no place in the report.

* H. The Sales Manager said he had forgotten the password to the Sales Ordering system and so too had everyone else in his department:Correct. This relates to system access and could indicate a nonconformance or an opportunity for improvement. ISO References:

* ISO 19011:2018, Clause 6.7: Reporting audit results.

NEW QUESTION # 43

Based on the image you provided, the question asks to identify which two phrases would apply to a first-party audit.

- A. External audit
- B. Certification audit
- C. Process audit
- D. The options are:
- E. Internal audit
- F. Regulatory audit
- G. Surveillance audit

Answer: A,E

NEW QUESTION # 44

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