Quiz CSC2 - Pass-Sure Exam Canadian Securities Course **Exam2 Collection Pdf**

Canadian Securities Course (CSC) Exam 2 With complete solution 2024/25

Fundamental Analysis
Accessing short medium and long range prospects of different industries for security prices.

Take into account capital market, the economy, industry, and the individul company

conditions to find intrinsic value

Is it overvalued or undervalued?
Focus on what can effect the dollar value, ex. The expected / actual profitability

Technical Analysis

Use historical prices and their behavior to predict the future. Make sure to understand the sentiment not the fundamentals. Focus on the market.

Investors might act irrational based on those events. Is it recurring or predictable?

- all influences accounted for automatically

- prices will move in trends for a long time

- believes the future will repeat the past Program Trading/High-Frequency Trading Sophisticated computerized trading strategies

Random walk Theory New information is disseminated over time.

Price changes are random.

Past prices are not useful because the company has already adjusted the price for the developments.

Rational Expectations Hypothesis

People are rational and have all the information.

They make smart decision for self interest. Past mistakes can be avoided with new

information.

Inefficiencies of market theories New information not available to everyone.

Not everyone reacts the same Not all forecasts are accurate.

Investors psychology or greed could be irrational.
Efficient Market Hypothesis
Stock price is the best estimate of true value.
The profit seeking with react quick and adjust for intrinsic value.

Stock price fully reflects all available information

3 Types of Information

1. Weak: past information with current prices

Semi-strong: public information in current prices
 Strong: all information in current prices, no advantages

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CSI CSC2 Exam Syllabus Topics:

Topic	Details
Topic 1	 Investment Products: This section of the exam measures the skills of an Investment Products Analyst and covers fixed-income securities features, pricing, and trading, equity securities including common and preferred shares; derivatives including options, forwards, futures, rights and warrants; and the characteristics and uses of all these investment instruments in Canadian markets.

^{*} strong is. Passive approach, they will buy and hold

Topic 2	The Economy: This section of the exam measures the skills of an Economic Analyst and covers fundamental economic concepts including microeconomics and macroeconomics, economic growth measurement, business cycles, labor markets, interest rates, inflation, international trade, and both fiscal and monetary policy with emphasis on the Bank of Canada's role and government policy challenges.
Topic 3	The Corporation: This section of the exam measures the skills of a Corporate Finance Analyst and covers corporate structures, financial statements, disclosure requirements, investor rights, financing methods, capital raising processes, prospectus requirements, securities distribution, and exchange listing procedures for corporations.
Topic 4	The Canadian Investment Marketplace: This section of the exam measures the skills of a Securities Industry Professional and covers the structure and operation of Canada's investment marketplace. It includes the roles of investment dealers and financial intermediaries, capital market functions, financial instruments, and the complete Canadian regulatory environment with its regulatory bodies, principles of regulation, client remediation options, and ethical standards for financial services professionals.
Topic 5	Investment Analysis: This section of the exam measures the skills of a Research Analyst and covers both fundamental and technical analysis methods, including macroeconomic, industry and company analysis techniques, financial statement interpretation, ratio analysis, and security valuation approaches.
Topic 6	 Additional Topics: This section of the exam measures the skills of a Wealth Management Professional and covers Canadian taxation systems, tax-advantaged accounts, fee-based account structures, retail client financial planning and estate planning, institutional client management, and ethical standards for financial advisors serving both individual and institutional clients.
Торіс 7	Analysis of Managed and Structured Products: This section of the exam measures the skills of an Investment Products Specialist and covers mutual funds, exchange-traded funds, alternative investments, structured products, and other managed products including their structures, regulations, features, risks, strategies, performance measurement, and tax implications within the Canadian investment landscape.

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CSI Canadian Securities Course Exam2 Sample Questions (Q146-Q151):

NEW QUESTION # 146

Soft-dollar arrangements can be used for which type of service?

- A. Sales.
- B. Advice.
- · C. Research.
- D. Underwriting.

Answer: C

NEW QUESTION # 147

For a market capitalization-weighted ETF focused on the S&P/TSX Composite Index, what is likely the greatest contributor to

underperformance relative to the reference index?

- A. Liquidity.
- B. Fees.
- · C. Cash drag.
- D. Rebalancing.

Answer: C

NEW OUESTION # 148

What is unique to responsible investment?

- A. A combination of a values and valuation-based approach to investing
- B. It is unavailable with certain asset classes like segregated fundi
- C. It bases investment decisions exclusively on environmental factors.
- D. ESG factors are standardized across the investment no industry.

Answer: A

Explanation:

Responsible investing (RI) incorporatesenvironmental, social, and governance (ESG) factors into investment decisions. This approach combines values - based investing (aligning investments with personal or institutional ethics) and valuation - based investing (analyzing ESG factors to assess potential risks and returns).

- * A. It is unavailable with certain asset classes like segregated funds: RI is increasingly available across various asset classes, including segregated funds.
- * B. ESG factors are standardized across the investment industry; ESG standards vary and are not uniformly applied.
- * D. It bases investment decisions exclusively on environmental factors: RI considers environmental, social, and governance factors, not just environmental concerns.

NEW QUESTION # 149

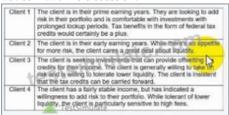
What document must be provided to an investor before they purchase a mutual fund?

- A. The annual audited statements.
- B. A Fund Facts document.
- C. The annual information form.
- D. A simplified prospectus.

Answer: B

NEW QUESTION # 150

An advisor wants to explain the benefits of labour sponsored funds (LSVCC) to some of his clients. With which client should the advisor have this discussion?



- A. Client 4.
- B. Client 3.
- C. Client 1.
- D. Client 2.

Answer: C

NEW QUESTION # 151

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