

# Study ISO-45001-Lead-Auditor Demo, Exam ISO-45001-Lead-Auditor Questions



## Practice questions



### Information for learners

#### This exam is open book

- All references to ISO 45001 refer to the latest issue (ISO 45001:2018).
- A clean copy of ISO 45001, ISO 19011, and a bilingual dictionary are permitted for reference.
- The practice paper is in five sections. Learners should attempt all sections and all 24 questions.
- Learners may attempt the practice questions up to 99 times.
- These are questions for learners to use ahead of the actual exam. They will familiarise learners with the questions in each section and the layout and functionality of the online exam platform.
- Learners should read each question carefully before answering. Learners are advised to consider the context given in the question as well as the knowledge they have from the course.
- All the questions are based on the learning and enabling objectives detailed in the CQI and IRCA QMS ISO 45001:2018 Lead Auditor course specification (PR357).

## Section 1 - Concepts and principles of Management Standards and Systems



Workers' participation is a key factor for the success of an OHSMS.

Which **three** of the following ISO 45001 activities require workers' participation?

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What happens when you are happiest? It must be the original question! The hit rate of ISO-45001-Lead-Auditor study materials has been very high for several reasons. Our company has collected the most comprehensive data and hired the most professional experts to organize. They are the most authoritative in this career. At the same time, we are very concerned about social information and will often update the content of our ISO-45001-Lead-Auditor Exam Questions.

## PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	<ul style="list-style-type: none"><li>• Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.</li></ul>
Topic 2	<ul style="list-style-type: none"><li>• ISO 45001 requirements for an OH&amp;S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.</li></ul>

Topic 3	<ul style="list-style-type: none"> <li>• Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.</li> </ul>
Topic 4	<ul style="list-style-type: none"> <li>• Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.</li> </ul>
Topic 5	<ul style="list-style-type: none"> <li>• Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.</li> </ul>

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## **Exam PECB ISO-45001-Lead-Auditor Questions, Exam ISO-45001-Lead-Auditor Cram Questions**

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### **PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q73-Q78):**

#### **NEW QUESTION # 73**

An audit team leader arrives at a steel fabrication organisation that manufactures stairways to carry out a Stage2 certification audit. At a meeting with the OHSMS Manager, she is told that they have won their biggest contact from a construction organization to manufacture and install stairways.

During the audit, a team member found that several employees had suffered hand injuries over several months due to handrail welds not being properly polished. The OHSMS Manager blames the new employees they had to take on because of the big contract. The auditor raises a nonconformance against clause 10.2 of ISO 45001.

In relation to the nonconformity raised by the auditor and in line with the policy of the certification body, the audit team raise improvement opportunities in the audit report.

Which three of the options would represent acceptance opportunities for improvement in the report?

- A. Additional internal audits of the OHS management system may reduce production problems.
- B. The molding polishers should be disciplined for Incompetency.
- C. A quality control programme could involve non-destructive testing of the welded handrails
- D. A first aid station could be located next to the handrail polishing operation.
- E. All workers should be given competence test.
- F. The requirement for wearing protective gloves could be extended to shop floor workers across all operations.
- G. Operational planning activities may benefit from an improved risk based approach based on international standards for risk management.
- H. The induction programme for new employees could include an awareness training video in health and safety.

**Answer: F,G,H**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References Opportunities for improvement (OFIs) are suggestions provided during audits that do not indicate nonconformities but are aimed at enhancing the system's performance.

Analysis of Options:

- \* A. A quality control program could involve non-destructive testing of the welded handrails: While beneficial, this focuses on product quality rather than OH&S improvements.
- \* B. Operational planning activities may benefit from an improved risk-based approach based on international standards for risk management: Correct. Enhancing risk-based thinking aligns with Clause 6.1.1.

- \* C. A first aid station could be located next to the handrail polishing operation:While useful, first aid station placement is an operational matter, not directly related to OH&S management system improvement.
- \* D. Additional internal audits of the OHS management system may reduce production problems:Internal audits should address system performance, not production problems.
- \* F. The induction program for new employees could include an awareness training video on health and safety:Correct. Enhanced training for new employees addresses Clause 7.2 (competence).
- \* G. The requirement for wearing protective gloves could be extended to shop floor workers across all operations:Correct. This improves hazard control in line with Clause 8.1.2.
- \* H. The molding polishers should be disciplined for incompetency:Disciplinary actions are outside the scope of system improvement.

ISO References:

- \* Clause 6.1.1: Risk management.
- \* Clause 7.2: Competence.
- \* Clause 8.1.2: Hierarchy of controls.

#### NEW QUESTION # 74

Which two of the following are 'criteria' in relation to a first-party audit?

- A. Workers complaints"
- B. Emergency plans
- C. Management policy
- D. Duration of the audit
- E. Opportunities for improvement
- F. Members of the audit team

**Answer: B,C**

#### NEW QUESTION # 75

Which three of the following ISO 45001 activities require the organisation to emphasise non-managerial workers' participation?

- A. Determining actions to eliminate hazards
- B. Establishing the OHS policy
- C. Determining how to fulfil legal requirements
- D. Determining what needs to be communicated
- E. Determining competence requirements
- F. Determining controls on outsourced processes
- G. Establishing OHS objectives
- H. Managing the internal audit programme

**Answer: A,B,G**

Explanation:

ISO 45001 emphasizes the importance of worker participation, particularly non-managerial workers, in developing and improving the OH&S management system. Clause 5.4 outlines specific areas where their involvement is crucial.

Analysis of Options:

- \* A. Determining competence requirements:Incorrect. Competence requirements are primarily determined by management but may involve feedback from workers.
- \* B. Determining how to fulfil legal requirements:Incorrect. Management typically handles compliance with legal requirements.
- \* C. Determining controls on outsourced processes:Incorrect. This is a management responsibility.
- \* D. Managing the internal audit programme:Incorrect. Workers participate in audits, but managing the program is a management task.
- \* E. Determining what needs to be communicated:Incorrect. Communication strategies are usually set by management.
- \* F. Determining actions to eliminate hazards:Correct. Non-managerial workers' input is vital in identifying and eliminating workplace hazards (Clause 8.1.2).
- \* G. Establishing the OHS policy:Correct. Workers' participation in policy development ensures it is relevant and inclusive (Clause 5.2).
- \* H. Establishing OHS objectives:Correct. Workers' participation ensures that objectives are practical and aligned with workplace realities (Clause 6.2).

### NEW QUESTION # 76

Showitoff is an organization specialization in the design and production of wall decorating materials for the domestic market. During an ISO 45001 certification audit of the site, the auditor comes across an open, walled area just outside the maintenance department. It contains various scraps of wood and metal as well as several rusty components. Several heavy concrete beams are leaning against a thin brick wall in which cracks are available. When asked about it, the OHS Manager states that he presumes that the materials come from maintenance work, so it is the Maintenance Manager's responsibility.

The auditor interview the Maintenance Manager in his department. He asks about the area outside and is told that it contains some excess material that the Manager likes to keep in case they come in handy at some stage.

The auditor points out that the wall appears to be unstable and could collapse at any moment. The Maintenance Manager is not aware of such a situation.

The auditor decides to review the process for evaluation of compliance with health and safety regulations in more depth.

Select six option that provide a meaningful audit trail for this process.

- A. How is the cost of safety improvements calculated?
- B. How are updates to OH&S regulations monitored?
- C. What hazards have been identified as being associated with regulations?
- D. What knowledge does the OHS Manager have in relevant safety legislation?
- E. How are OH&S objectives verified?
- F. What input does the Maintenance Manager have in the determination of legal compliance?
- G. What is the cost of repairing the wall?
- H. What are the qualifications of the OHS Manager and Maintenance Managers?
- I. How are maintenance staff made aware of regulatory requirements?
- J. How are OHSMS records of compliance evaluations controlled and managed?

**Answer: B,C,D,F,I,J**

Explanation:

Comprehensive Detailed Explanation along with All ISO 45001 Audit References An audit trail for evaluating compliance with regulations should focus on the identification, communication, and monitoring of legal and other requirements. Clause 9.1.2 of ISO 45001:2018 requires organizations to evaluate compliance with applicable OH&S legal and other requirements.

Analysis of Options:

- \* A. What is the cost of repairing the wall? Irrelevant to compliance evaluation, as cost considerations are not part of legal compliance.
- \* B. What hazards have been identified as being associated with regulations? Correct. Identifying hazards is a critical step in understanding compliance obligations (Clause 6.1.2).
- \* C. How are maintenance staff made aware of regulatory requirements? Correct. Communication and training are vital for compliance (Clause 7.3).
- \* D. How are OH&S objectives verified? Not directly relevant to compliance evaluations, as objectives pertain to performance improvement.
- \* E. How are OHSMS records of compliance evaluations controlled and managed? Correct. Proper documentation and record-keeping are essential for demonstrating compliance (Clause 7.5).
- \* F. How are updates to OH&S regulations monitored? Correct. Monitoring regulatory changes is critical for maintaining compliance (Clause 6.1.3).
- \* G. How is the cost of safety improvements calculated? Irrelevant to compliance, as cost analysis is not required by ISO 45001.
- \* H. What are the qualifications of the OHS Manager and Maintenance Manager? While competence is important, this does not directly relate to compliance evaluations.
- \* I. What input does the Maintenance Manager have in the determination of legal compliance? Correct. Understanding the roles and responsibilities of key personnel ensures effective compliance (Clause 5.3).
- \* J. What knowledge does the OHS Manager have in relevant safety legislation? Correct. Awareness of applicable legislation is critical for effective compliance evaluation (Clause 7.2).

ISO References:

- \* Clause 9.1.2: Evaluation of compliance.
- \* Clause 6.1.2: Hazard identification and risk assessment.
- \* Clause 7.5: Documented information.

### NEW QUESTION # 77

Based on the image you provided, the question asks to identify which two phrases would apply to a first-party audit.

- A. External audit
- B. The options are:
- C. Regulatory audit
- D. Certification audit
- E. Internal audit
- F. Surveillance audit
- G. Process audit

**Answer: A,E**

## NEW QUESTION # 78

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This practice exam software includes all ISO-45001-Lead-Auditor exam questions that have a high chance of appearing in the PECB Certified ISO 45001 Lead Auditor Exam exam. The ISO-45001-Lead-Auditor practice exam allows you to set the number of questions and time for each attempt and presents you with a self-assessment report showing your performance. You might not be able to get all-in-one practice material for the PECB Certified ISO 45001 Lead Auditor Exam ISO-45001-Lead-Auditor of such excellent quality anywhere else.

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