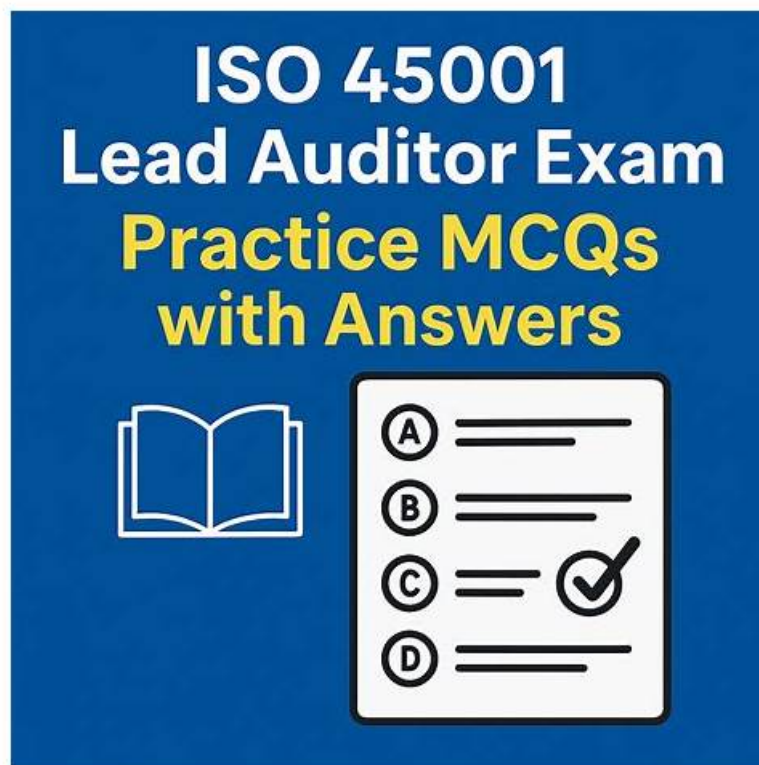


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With the development of science and technology, getting ISO-45001-Lead-Auditor certification as one of the most powerful means to show your ability has attracted more and more people to be engaged in the related exams. Thus there is no doubt that candidates for the exam are facing ever-increasing pressure of competition. Since ISO-45001-Lead-Auditor Certification has become a good way for all of the workers to prove how capable and efficient they are. But it is universally accepted that only the studious people can pass the complex ISO-45001-Lead-Auditor exam.

PECB ISO-45001-Lead-Auditor Exam Syllabus Topics:

Topic	Details
Topic 1	Domain 4: Preparing for an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the preparation process for conducting an ISO 45001 audit. It emphasizes planning, resource allocation, and establishing audit objectives to ensure a thorough evaluation.
Topic 2	Fundamental principles and concepts of an occupational health and safety management system: This section of the exam measures the skills of Health and Safety Managers and covers the essential principles and concepts underlying an occupational health and safety management system (OHSMS). It focuses on understanding the framework for managing health and safety risks to prevent workplace injuries and illnesses. One skill to be measured is identifying key components of an effective OHSMS.
Topic 3	Closing an ISO 45001 audit: This section of the exam measures the skills of Audit Consultants and covers the procedures for concluding an ISO 45001 audit. It emphasizes reporting results, discussing findings with stakeholders, and ensuring follow-up actions are planned.
Topic 4	Conducting an ISO 45001 audit: This section of the exam measures the skills of Lead Auditors and covers the execution of an ISO 45001 audit. It focuses on gathering evidence, interviewing personnel, and assessing compliance with OHSMS requirements during the audit process.

Topic 5	• ISO 45001 requirements for an OH&S MS – Clauses 4 to 10: This section of the exam measures the skills of Auditors related to specific requirements outlined in ISO 45001 about occupational health and safety management systems. It emphasizes understanding clauses that address context, leadership, planning, support, operation, performance evaluation, and improvement. A skill to be measured is applying ISO 45001 requirements to organizational practices.
Topic 6	• Fundamental audit concepts and principles: This section of the exam measures the skills of Internal Auditors and covers the basic concepts and principles related to auditing an OHSMS. It focuses on understanding audit types, methodologies, and the role of audits in compliance and improvement. One skill to be measured is conducting effective audits to assess OHSMS performance.

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PECB Certified ISO 45001 Lead Auditor Exam Sample Questions (Q26-Q31):

NEW QUESTION # 26

Aitchandness inc, manufactures safety footwear and other items of personal protective equipment (PPE). The organisation applied for ISO 45001 certification, and after the initial certificate audit, the certificate body decided that the audit team leader should carry out a follow-up audit to determine whether the non-conformities could be closed out.

A major non-conformity was raised at Stage 2 because of inadequate storage and handling of chemical used in the production process. Minor non-conformities related to retaining documentation, staff safety training and inadequate testing of emergency procedures.

Select four of the actions which the auditor could take at the follow-up audit to determine the effectiveness of corrective action for the non-conformities.

- A. Ask whether the organization's internal audit schedule was revised to included more audit associated with the storage and handling of chemicals.
- B. Interview the OH&S Manager to determine whether additional health and safety objectives have been established.
- C. Check whether documented procedures for the revised processes have been signed off by the OH&S Manager.
- D. Determine whether an updated risk assessment has been carried out on the revised chemical storage and handling processes.
- E. Check that the safety data sheets associated with the chemical being used where checked for completeness.
- F. Ask to review the latest emergency response drill report to check whether the revised emergency response process is fit for purpose
- G. Interview staff to see whether they have received training in the revised emergency response procedures.
- H. Inspect the relevant areas to check whether chemical were being stored and handled in a safe manner.

Answer: C,D,F,H

Explanation:

Follow-up audits verify that corrective actions have been implemented effectively and address the root cause of the nonconformities.

Analysis of Options:

* A. Review the latest emergency response drill report:Correct. This verifies whether emergency response processes are functional and effective.

- * B. Check if the internal audit schedule was revised:Incorrect. While beneficial, this is not directly related to closing out the nonconformity.
 - * C. Check safety data sheets for completeness:Incorrect. While this ensures compliance, it is not directly related to verifying corrective actions.
 - * D. Check if revised procedures were signed off:Correct. This confirms that changes were properly documented and approved.
 - * E. Determine whether an updated risk assessment was carried out:Correct. Risk assessments confirm the effectiveness of new controls.
 - * F. Inspect areas for safe chemical storage and handling:Correct. Direct observation ensures that corrective actions are implemented effectively.
 - * G. Interview staff on revised emergency procedures:Incorrect. While important, this does not directly address the effectiveness of corrective actions for chemical storage.
 - * H. Interview the OH&S Manager about additional objectives:Incorrect. This is unrelated to the specific nonconformities raised.
- ISO References:
- * Clause 10.2.2: Verification of corrective actions.
 - * Clause 8.1.2: Hazard identification and controls.

NEW QUESTION # 27

An audit team member assigned to audit the management review and planning processes prepares a work document. What is the name of the document prepared by the auditor?

- A. Audit nonconformity report
- B. Audit report
- C. Audit checklist
- D. Audit plan

Answer: C

NEW QUESTION # 28

According to ISO 45001, who should participate in the continuous improvement of the health and safety management system?

- A. Top management and senior officers only
- B. Top management, senior officers and designated safety representatives only
- C. Top management only
- D. Everyone, at all levels of the company

Answer: D

Explanation:

ISO 45001 emphasizes worker participation and engagement at all levels of the organization to support continuous improvement (Clause 5.4 and Clause 10.3). Continuous improvement requires input from everyone, including workers who identify hazards, report incidents, and contribute to safety enhancements.

Analysis of Options:

A . Everyone, at all levels of the company:

Correct. Clause 5.4 explicitly includes all levels of the organization in consultation and participation, fostering continuous improvement.

B . Top management and senior officers only:

Incorrect. While top management plays a crucial role in leadership, ISO 45001 extends the responsibility for participation to all levels.

C . Top management, senior officers, and designated safety representatives only:

Incorrect. Limiting participation to designated representatives excludes a significant portion of the workforce from the improvement process.

D . Top management only:

Incorrect. While top management must lead and commit to continuous improvement, their involvement alone is insufficient under ISO 45001.

ISO Reference:

Clause 5.4: Worker consultation and participation.

Clause 10.3: Continual improvement.

NEW QUESTION # 29

Select two of the following options which are not the responsibility of an audit team leader.

- A. To offer certification to the management.
- B. To represent the audit team.
- C. To develop the audit team's checklists.
- D. To report the audit results to the client.
- E. To introduce the audit team to the client.
- F. To communicate with the auditee's management.

Answer: A,E

NEW QUESTION # 30

An internal auditor of a manufacturer of plastic packaging products for the food industry raised a nonconformity against section 10.2 of ISO 45001 in Report JA202. The nonconformity (NC3) stated: "The level of reported health and safety incidents has increased by 9.7% over the last 12 months." As the third-party auditor who comes across this nonconformity during a surveillance audit, how would you react? Select one.

- A. You would raise a nonconformity since the incident rate had not reduced at the time of the internal audit.
- B. You would investigate whether the incident rate featured in the previous internal audit report.
- C. You would ask the OHS Manager why the incident rate was rising by so much in one year.
- D. You would find out what corrective action was taken and whether it was effective.

Answer: D

NEW QUESTION # 31

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